

Automata and Languages

Prof. Mohamed Hamada

**Software Engineering Lab.
The University of Aizu
Japan**

Regular Expressions (RE)

Empty set	Φ	A RE denotes the empty set
Empty string	λ	A RE denotes the set $\{\lambda\}$
Symbol	a	A RE denotes the set $\{a\}$
Alternation	M + N	If M is a RE for the set M and N is a RE for the set N , then $M+N$ is a RE for the set $M \cup N$
Concatenation	M • N	If M is a RE for the set M and N is a RE for the set N , then $M.N$ is a RE for the set $M \cdot N$
Kleene-*	M*	If M is a RE for the set M , then M^* is a RE for the set M^*

Regular Expressions (RE)

Operation	Notation	Language	UNIX
Alternation	r_1+r_2	$L(r_1)\cup L(r_2)$	$r_1 r_2$
Concatenation	$r_1\bullet r_2$	$L(r_1)\bullet L(r_2)$	$(r_1)(r_2)$
Kleene-*	r^*	$L(r)^*$	$(r)^*$
Kleene-+	r^+	$L(r)^+$	$(r)^+$
Exponentiation	r^n	$L(r)^n$	$(r)\{n\}$

Regular Expressions (RE)

Example

For the alphabet $\Sigma = \{0, 1\}$

$0+1$ is a RE denote the set $\{0\} \cup \{1\}$

0^* is a RE denote the set $\{0\}^* = \{\lambda, 0, 00, \dots\}$

0.1^* is a RE denote the set $\{0\} \cdot \{\lambda, 1, 11, \dots\}$
 $= \{0, 01, 011, \dots\}$

Regular Expressions (RE)

Notes

For a RE r , $r^i = r.r\dots r$ i -times

Operations precedence: $*$ $>$ $.$ $>$ $+$

So we can omit many parentheses, for example: the RE $((0(1^*)) + 0)$ can be written as $01^* + 0$

We may abbreviate rr^* to r^+

The corresponding set (language) denoted by a RE r will be expressed as $L(r)$

Nondeterministic Finite Automata (NFA)

Definition

A nondeterministic finite automaton (NFA) M is defined by a 5-tuple $M=(Q,\Sigma,\delta,q_0,F)$, with

- Q : finite set of states
- Σ : finite input alphabet
- δ : transition function $\delta:Q\times\Sigma\rightarrow P(Q)$
- $q_0\in Q$: start state
- $F\subseteq Q$: set of final states

Nondeterministic Finite Automata (NFA)

Definition

A string w is ***accepted*** by an NFA M if and only if *there exists* a path starting at q_0 which is labeled by w and ends in a final state.

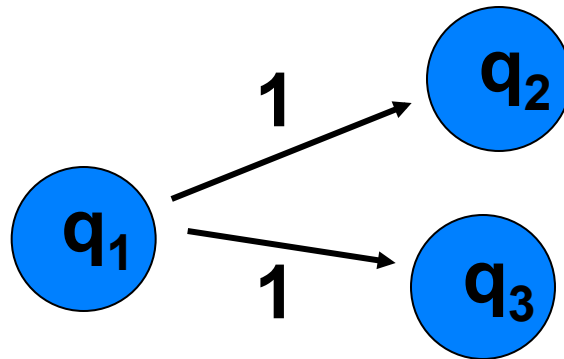
The ***language accepted by*** an NFA M is the set of all strings which are accepted by M and is denoted by $L(M)$.

$$L(M) = \{w : \delta(q_0, w) \cap F \neq \Phi\}$$

Nondeterministic Finite Automata (NFA)

Definition

A nondeterministic finite automaton has transition rules like:



⋮

⋮

Nondeterministic transition

Nondeterministic Finite Automata (NFA)

Nondeterminism ~ Parallelism

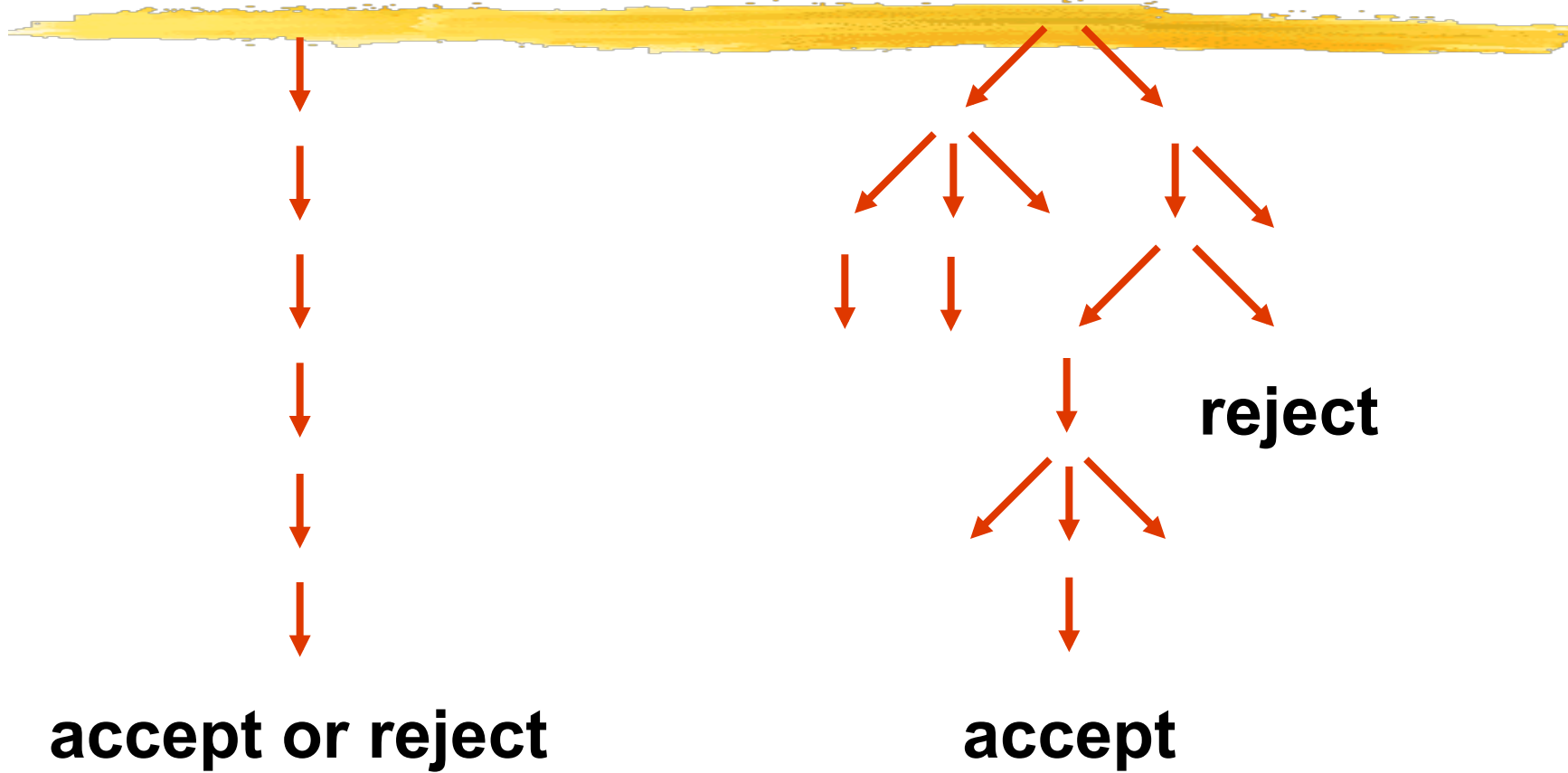
For any string w , the nondeterministic automaton can be in a subset $\subseteq Q$ of several possible states.

If the final set contains a final state,
then the automaton accepts the string.

“The automaton processes the input in a parallel fashion; its computational path is no longer a line, but more like a tree”.

Deterministic Computation

Non-Deterministic Computation

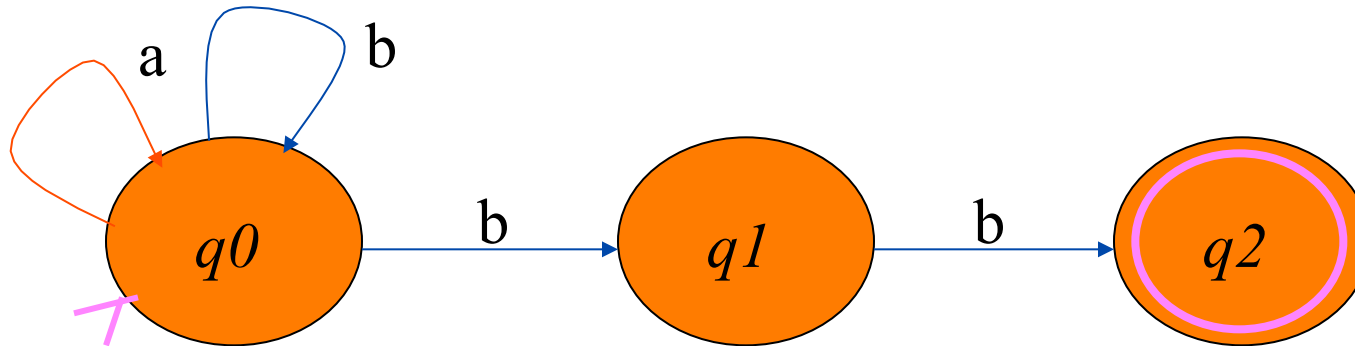


Nondeterministic Finite Automata

(NFA)

We can write the NFA in two ways

1. State digraph



2. Table

d	a	b
q0	{q0}	{q0,q1}
q1	f	{q2}
q2	f	f

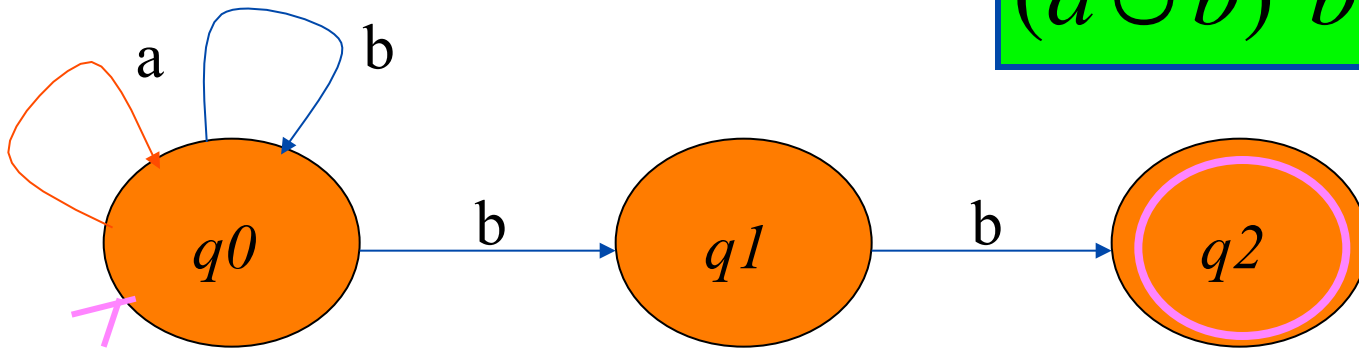
Nondeterministic Finite Automata

(NFA)

Example 1

Write an NFA for the language, over $\Sigma = \{a, b\}$, ending in bb

$(a \cup b)^* bb$



$$Q = \{q_0, q_1, q_2\}$$

$$\Sigma = \{a, b\}$$

$$F = \{q_2\}$$

Check the input abb?

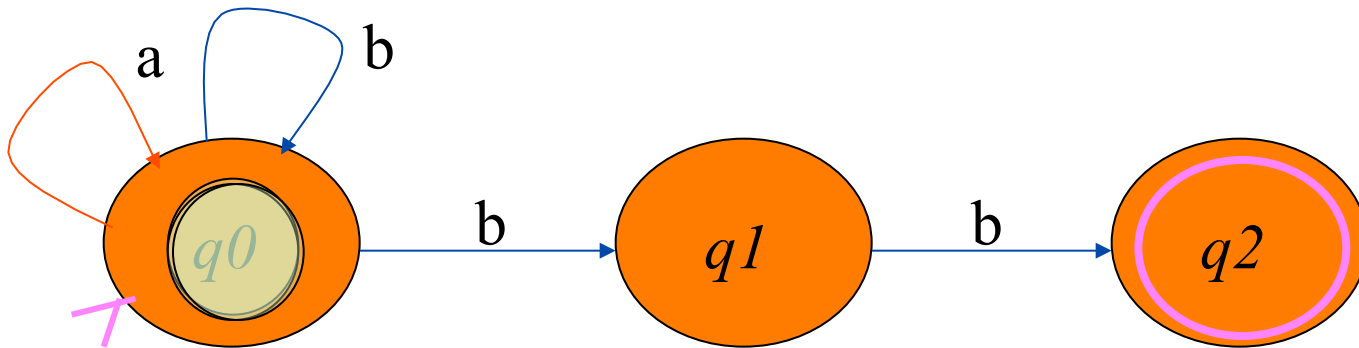
Nondeterministic Finite Automata

(NFA)

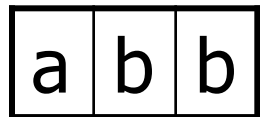
Quiz

Check the input abb?

$(a \cup b)^* bb$



Input:



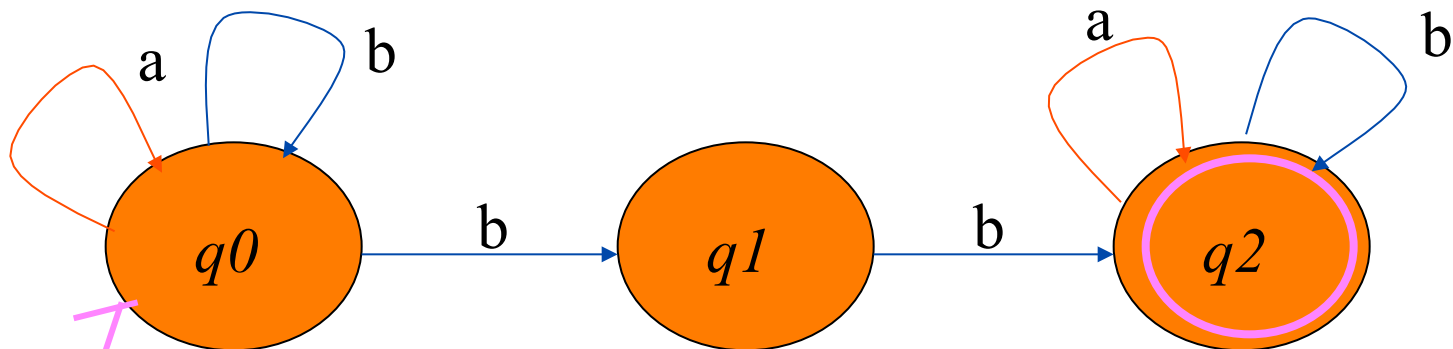
q2 is a final state hence the input abb is accepted

Nondeterministic Finite Automata

(NFA)

Example 2

Write an NFA for the language, over $\Sigma=\{a,b\}$,
 $L=(a \cup b)^* bb(a \cup b)^*$

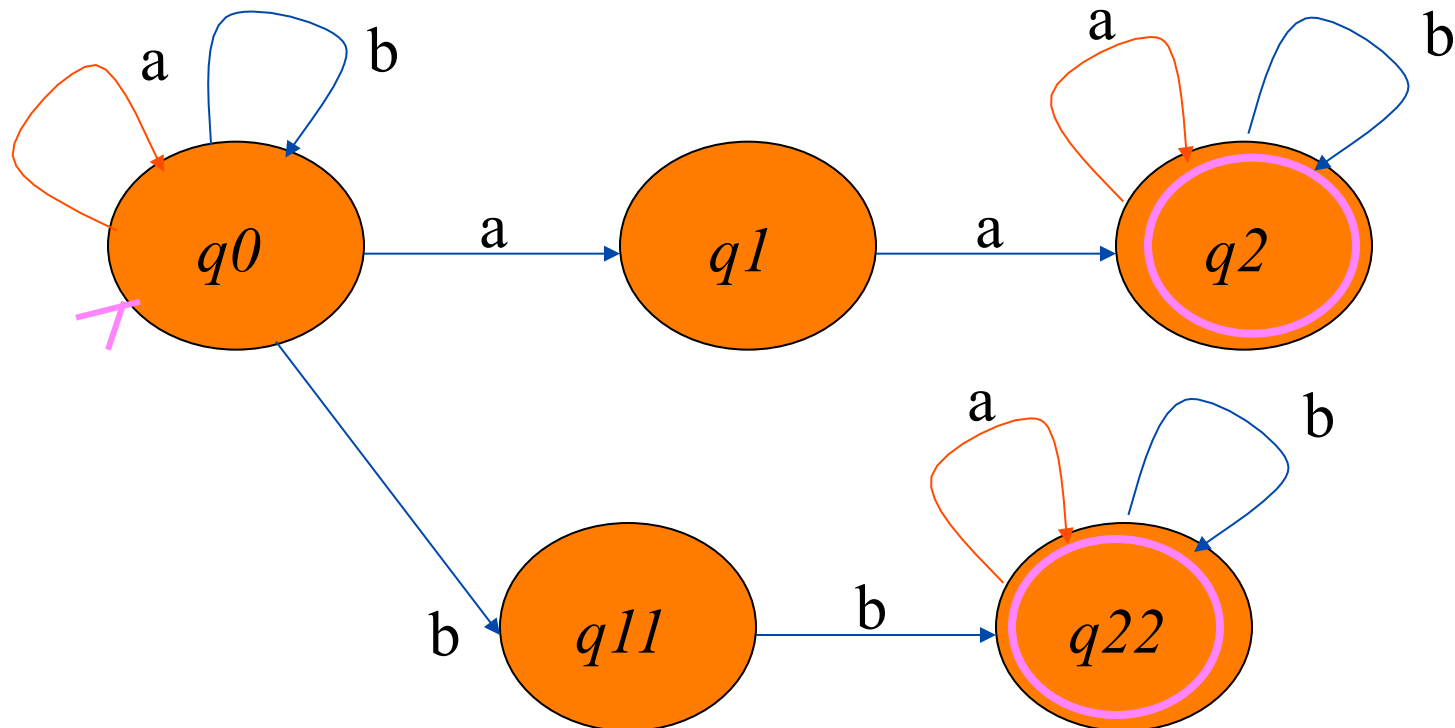


Nondeterministic Finite Automata

(NFA)

Example 3

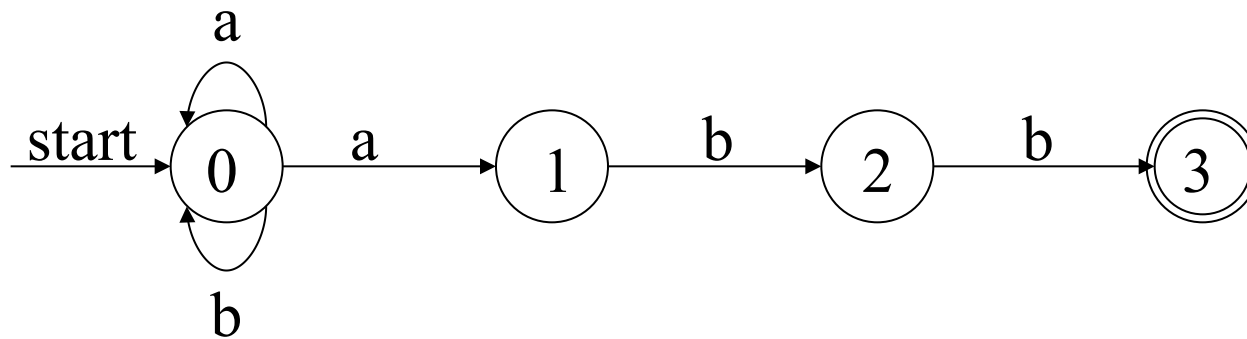
Write an NFA for the language, over $\Sigma=\{a,b\}$,
 $L=(a \cup b)^* (aa \cup bb) (a \cup b)^*$



Nondeterministic Finite Automata

(NFA)

Example 4



What language is accepted by this NFA?

Answer:

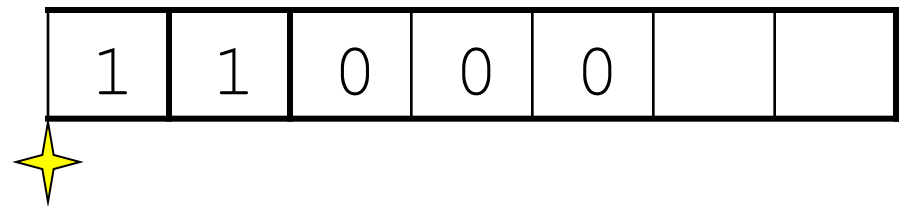
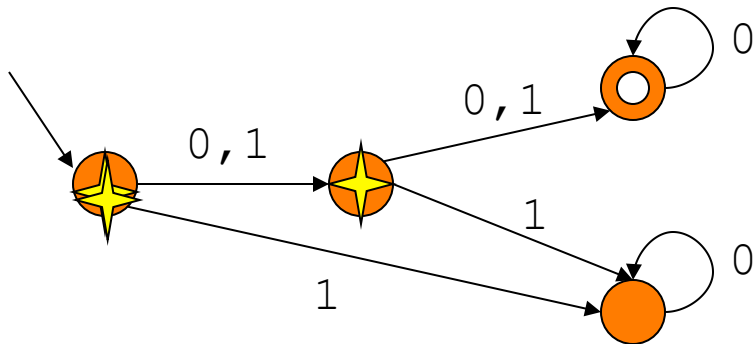
$(a+b)^*abb$

Nondeterministic Finite Automata

(NFA)

Example 5

For example, consider the following NFA which reads the input 11000.



Accepted!

NFA → DFA

■ Theorem: For every language L that is accepted by a nondeterministic finite automaton, there is a (deterministic) finite automaton that accepts L as well. DFA and NFA are equivalent computational models.

■ Proof idea: When keeping track of a nondeterministic computation of an NFA N we use many ‘fingers’ to point at the subset $\subseteq Q$ of states of N that can be reached on a given input string.

We can simulate this computation with a deterministic automaton M with state space $P(Q)$.

NFA \rightarrow DFA

Proof

Let L be the language recognized by the NFA $N = (Q, \Sigma, \delta, q_0, F)$. Define the DFA $M = (Q', \Sigma, \delta', q'_0, F')$ by

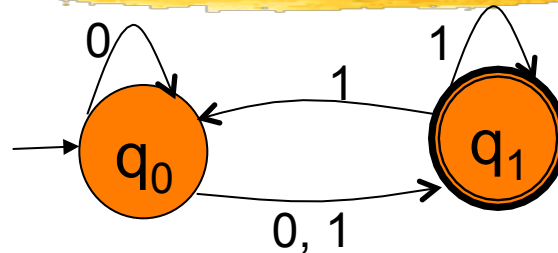
1. $Q' = P(Q)$
2. $\delta'(R, a) = \{ q \in Q \mid q \in \delta(r, a) \text{ for an } r \in R \}$
3. $q'_0 = \{q_0\}$
4. $F' = \{R \in Q' \mid R \text{ contains a 'final state' of } N\}$

■ It is easy to see that the previously described deterministic finite automaton M accepts the same language as N .

NFA → DFA

Example 1

Convert the NFA:



into a DFA?

Given NFA

$$Q = \{q_0, q_1\}$$

$$q_0$$

$$F = \{q_1\}$$

Constructed DFA

$$Q' = P(Q) = \{\Phi, \{q_0\}, \{q_1\}, \{q_0, q_1\}\}$$

$$q'_0 = \{q_0\}$$

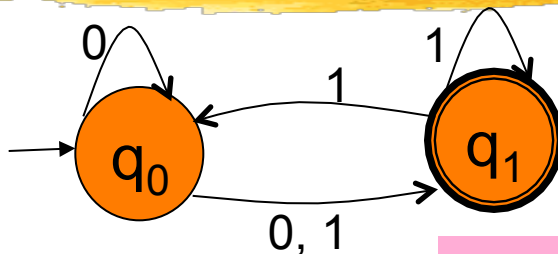
$$F' = \{\{q_1\}, \{q_0, q_1\}\}$$

For δ' see the next slide

NFA → DFA

Example 1

Convert the NFA:



into a DFA?

Given NFA

$$\delta(q_0, 0) = \{q_0, q_1\} \implies \delta'(\{q_0\}, 0) = \{q_0, q_1\}$$

$$\delta(q_0, 1) = \{q_1\} \implies \delta'(\{q_0\}, 1) = \{q_1\}$$

$$\delta(q_1, 0) = \emptyset \implies \delta'(\{q_1\}, 0) = \emptyset$$

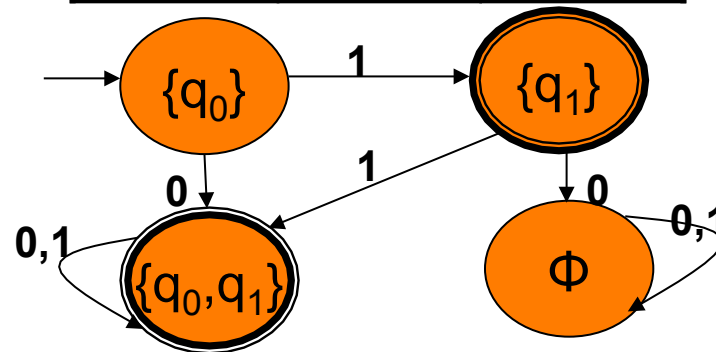
$$\delta(q_1, 1) = \{q_0, q_1\} \implies \delta'(\{q_1\}, 1) = \{q_0, q_1\}$$

$$\delta'(\{q_0, q_1\}, 0) = \delta(q_0, 0) \cup \delta(q_1, 0) = \{q_0, q_1\}$$

$$\delta'(\{q_0, q_1\}, 1) = \delta(q_0, 1) \cup \delta(q_1, 1) = \{q_0, q_1\}$$

Constructed DFA

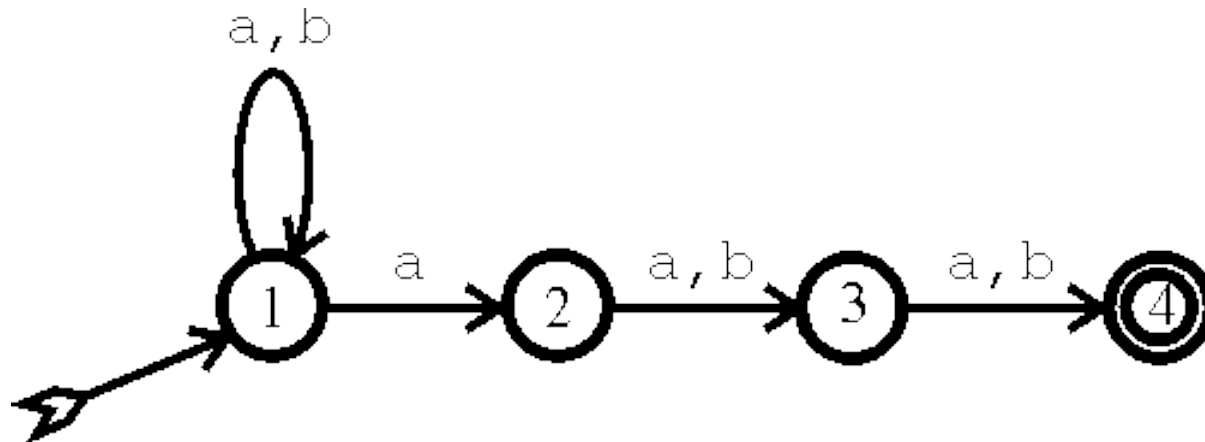
δ'	0	1
\emptyset	\emptyset	\emptyset
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_1\}$
$\{q_1\}$	\emptyset	$\{q_0, q_1\}$
$\{q_0, q_1\}$	$\{q_0, q_1\}$	$\{q_0, q_1\}$



NFA → DFA

Example 2

Start with the NFA:



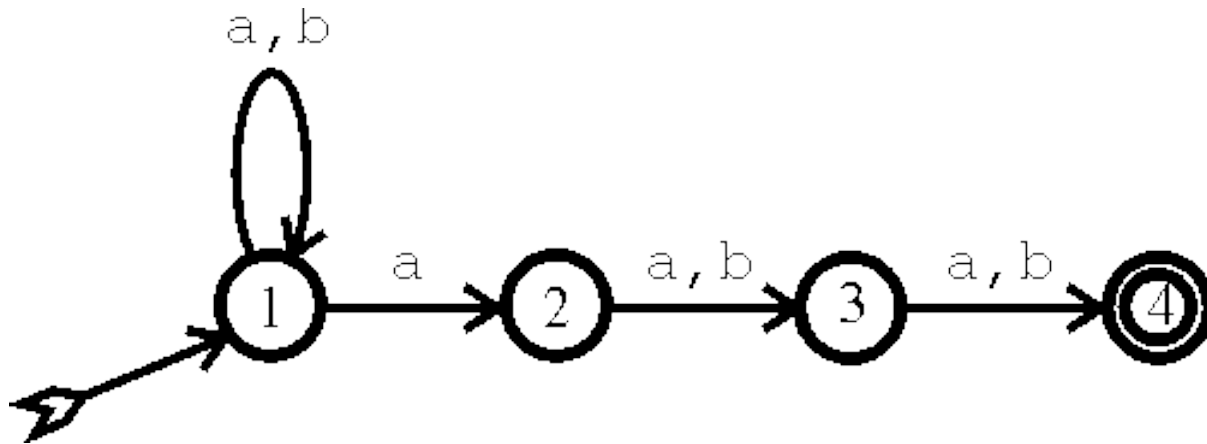
Q1: What's the accepted language?

Q2: How many states does the subset construction create in this case?

NFA → DFA

Example 2

A1: $L = \{x \in \{a,b\}^* \mid 3^{\text{rd}} \text{ bit of } x \text{ from right is } a\}$



A2: $16 = 2^4$ states.

That's a lot of states. Would be nice if only had to construct useful states, I.e. those that can be reached from start state.

NFA \rightarrow DFA

Example 2

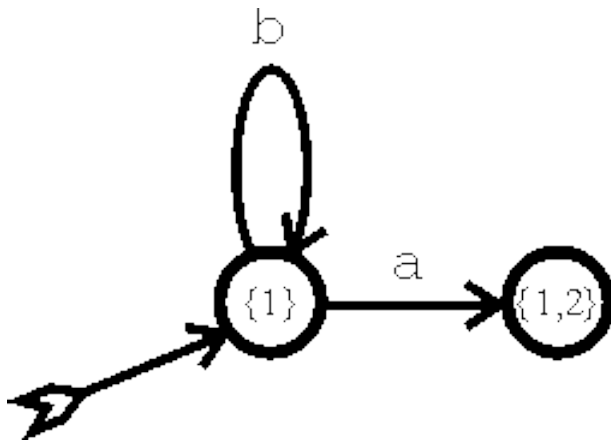
Start with $\{1\}$:



NFA \rightarrow DFA

Example 2

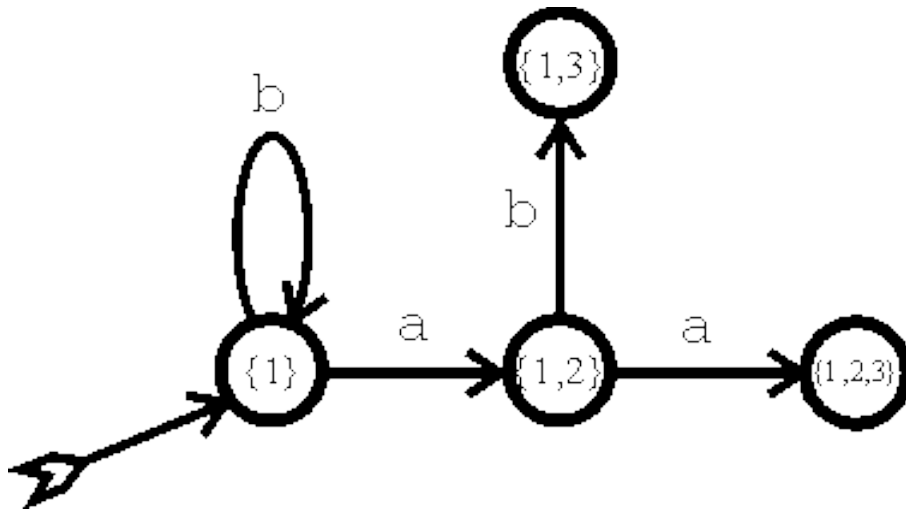
Branch out. Notice that $\delta(1,a) = \{1,2\}$.



NFA \rightarrow DFA

Example 2

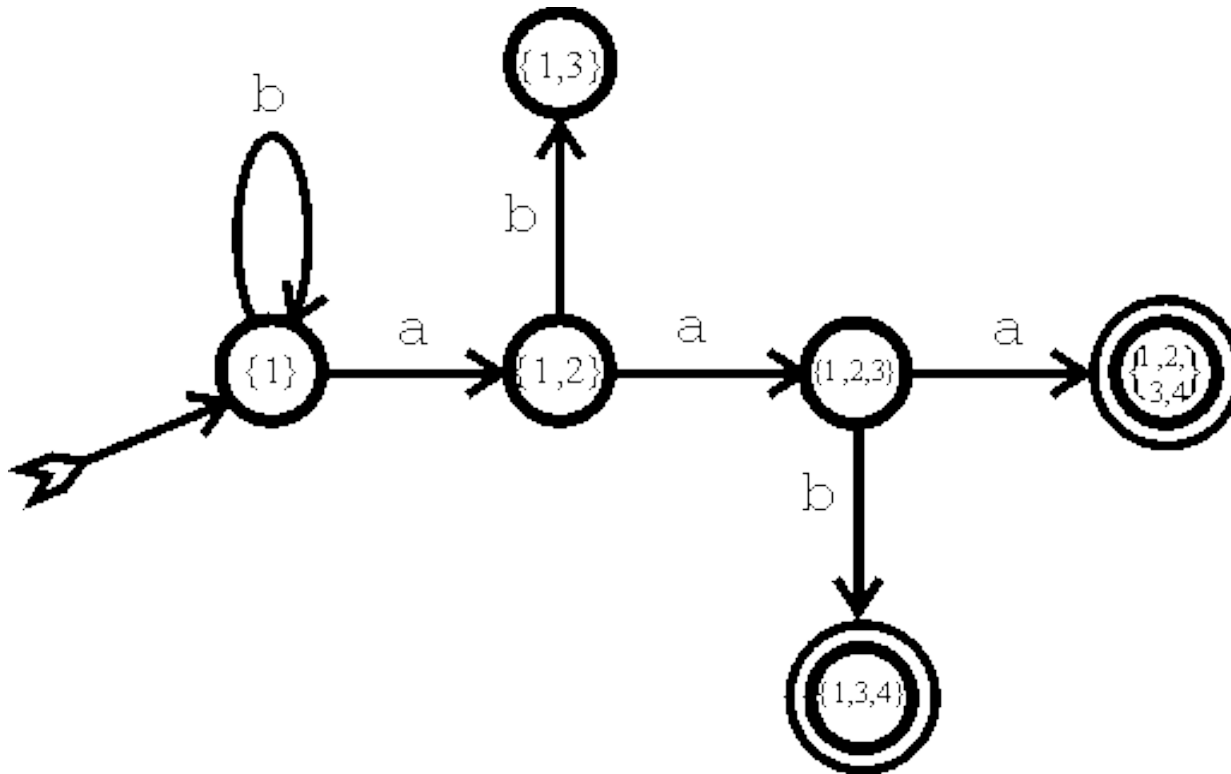
Branch out. Notice that $\delta'(\{1,2\},a) = \{1,2,3\}$.



NFA \rightarrow DFA

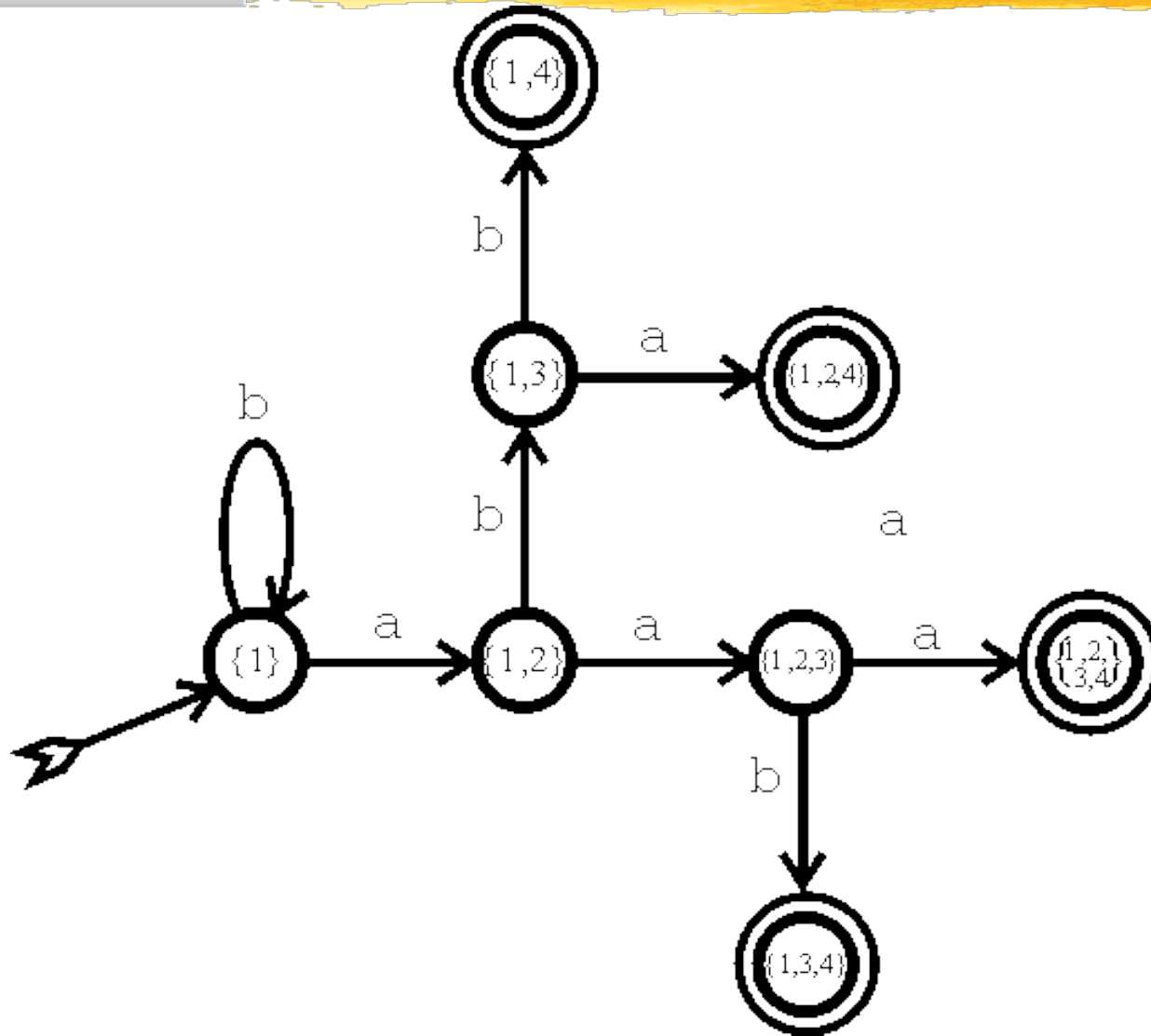
Example 2

Branch out. Note that $\delta'(\{1,2,3\},a) = \{1,2,3,4\}$



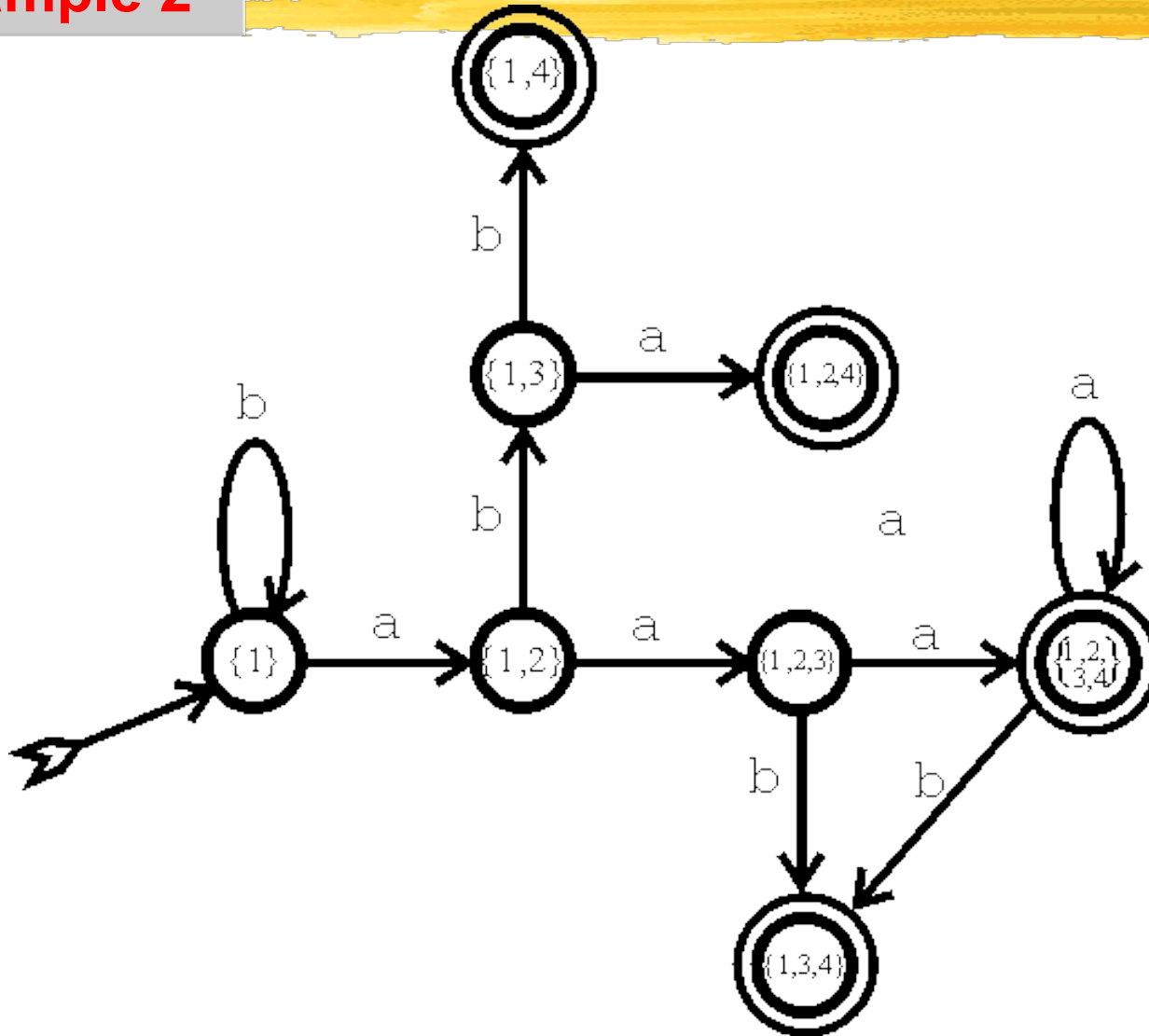
NFA = DFA

Example 2



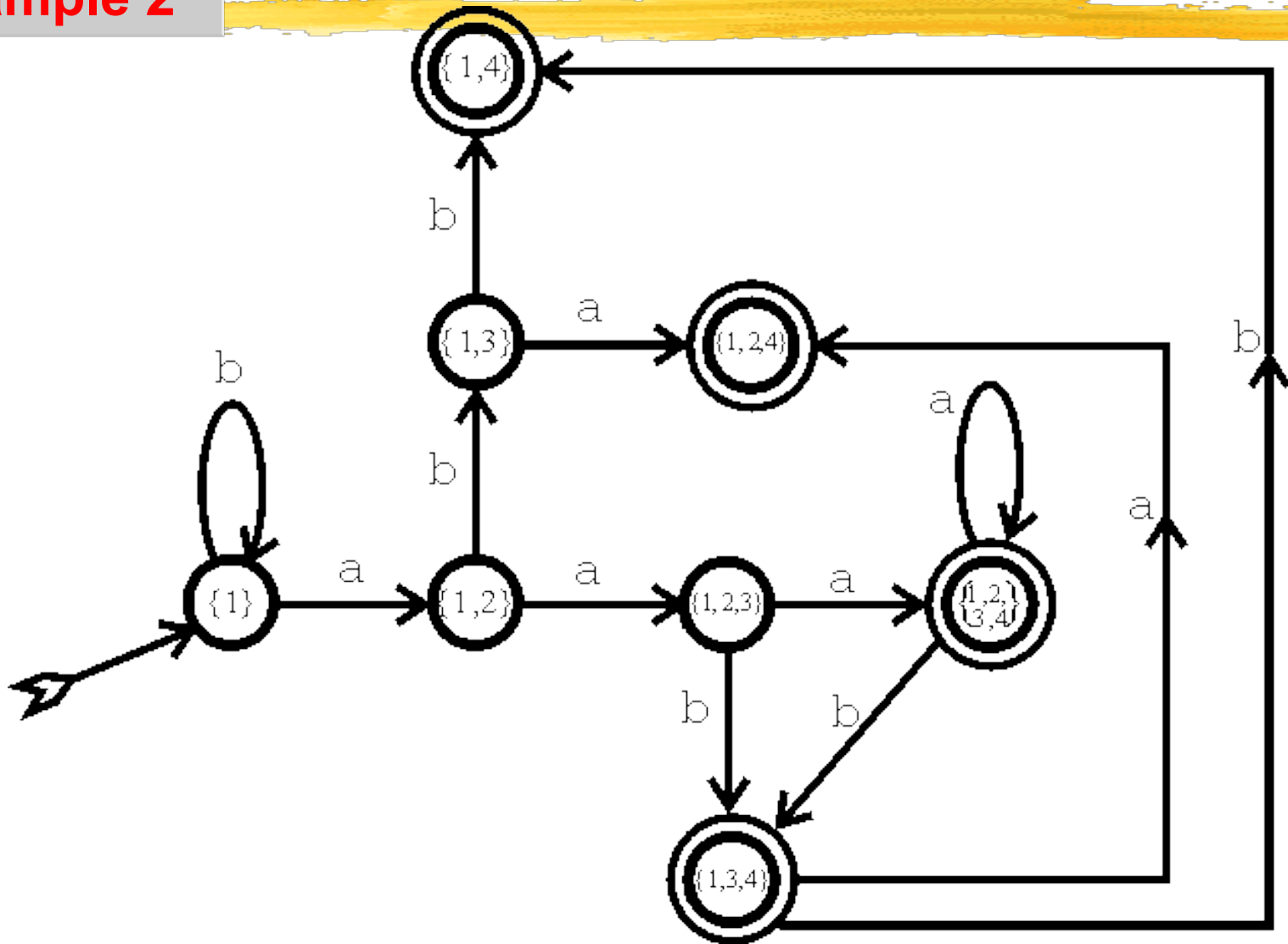
NFA \rightarrow DFA

Example 2



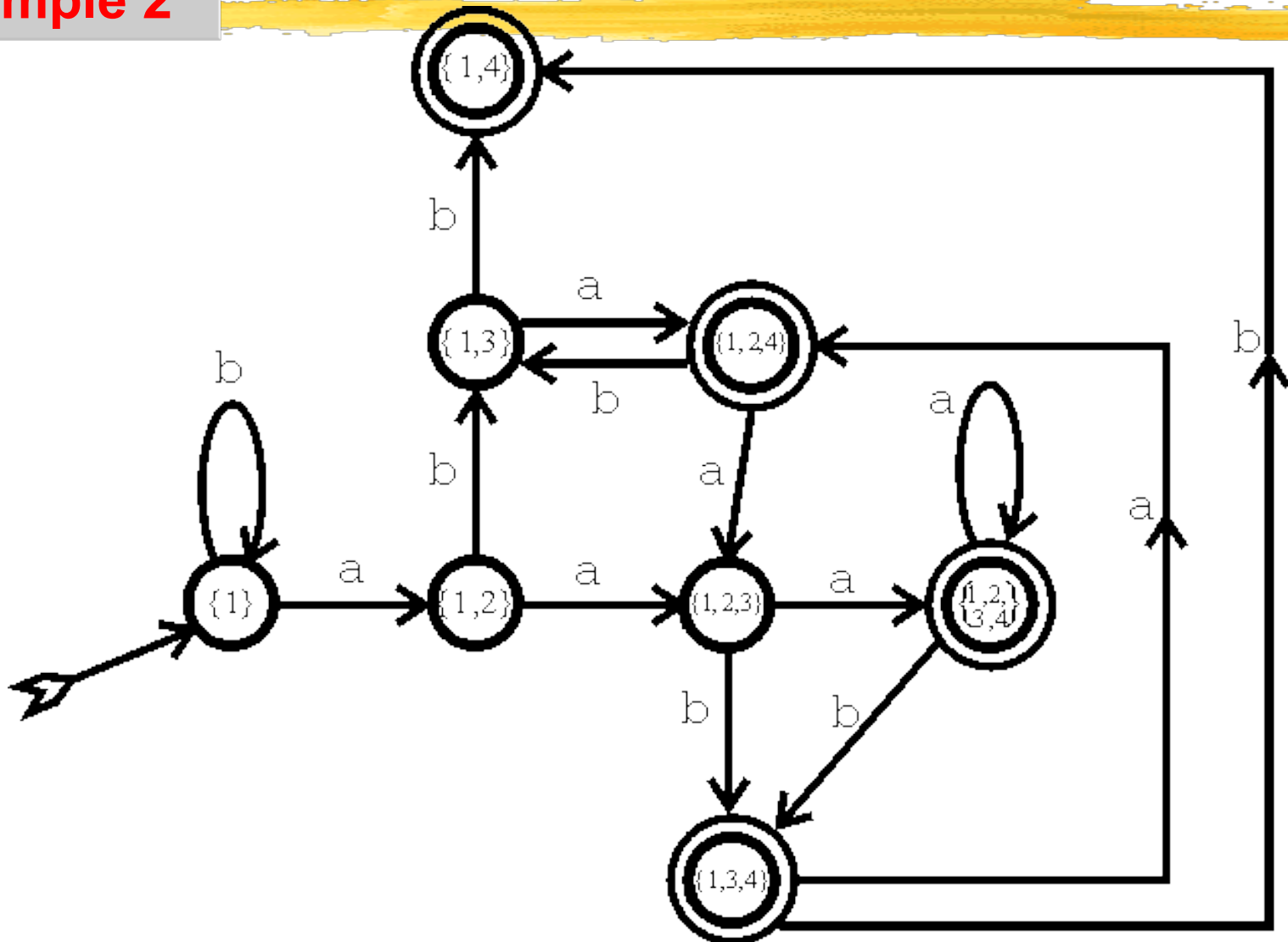
NFA \rightarrow DFA

Example 2



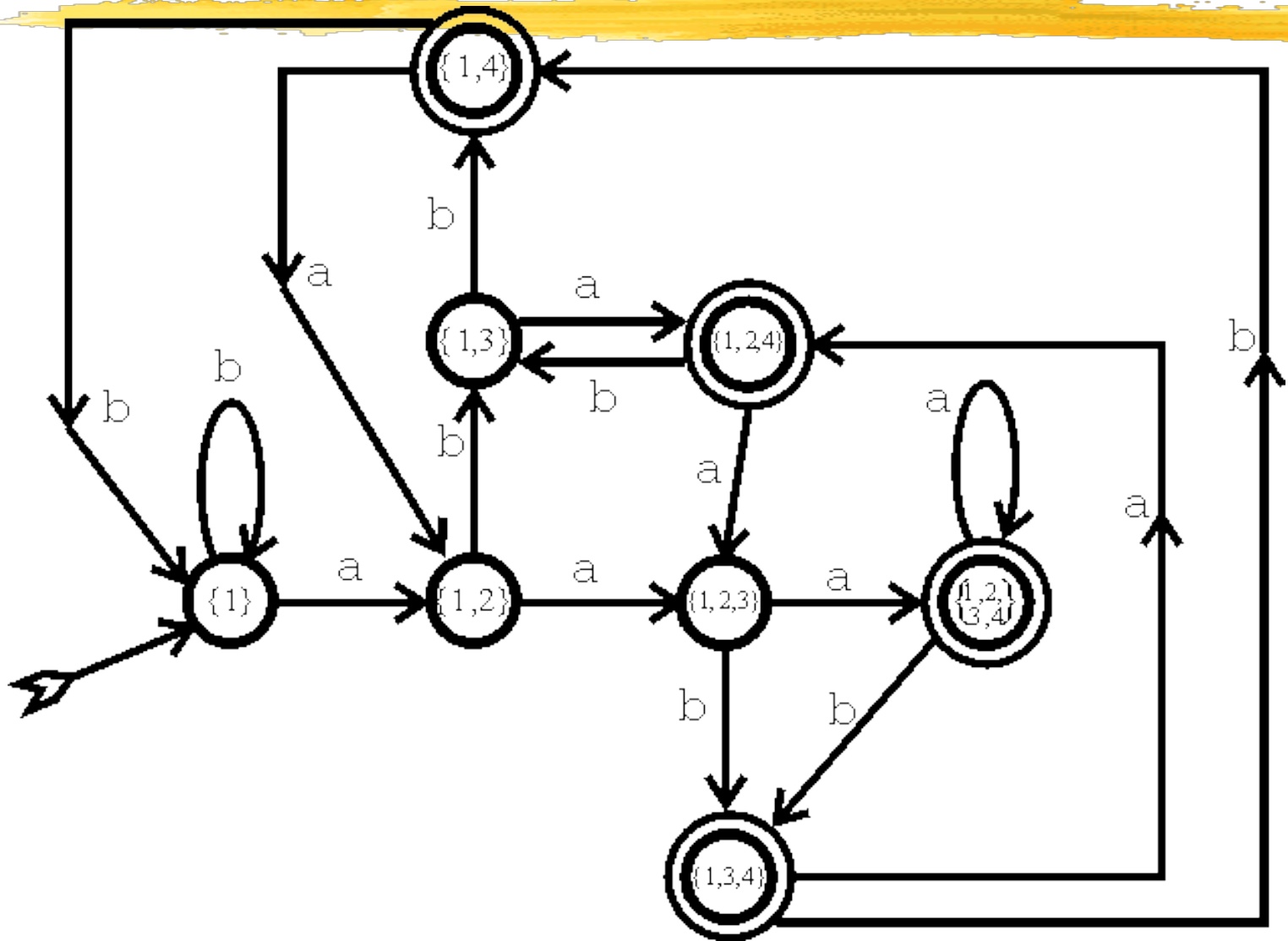
NFA \rightarrow DFA

Example 2



NFA \rightarrow DFA

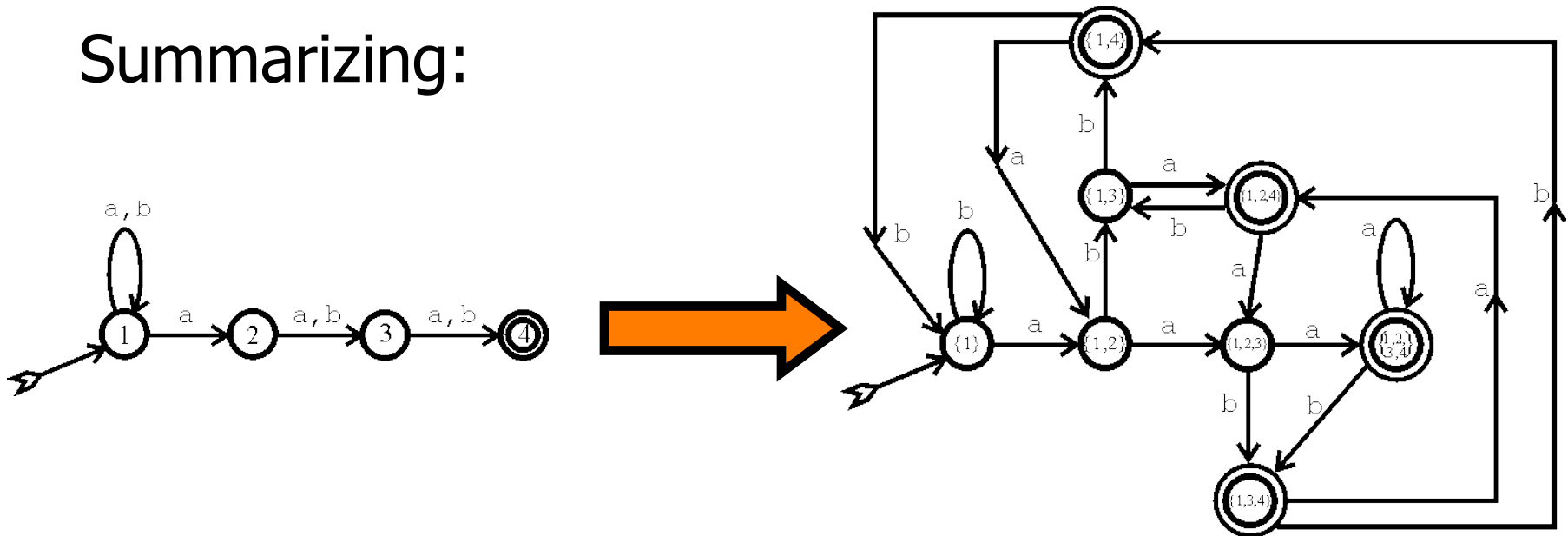
Example 2



NFA \rightarrow DFA

Example 2

Summarizing:



Therefore, we saved 50% effort by not constructing all possible states unthinkingly.

NFA \rightarrow DFA

Exercise

Convert the following NFA into an equivalent DFA?

