

## Automata and Languages

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## Regular Expressions (RE)

<b>Empty set</b>	$\Phi$	A RE denotes the empty set
<b>Empty string</b>	$\lambda$	A RE denotes the set $\{\lambda\}$
<b>Symbol</b>	$a$	A RE denotes the set $\{a\}$
<b>Alternation</b>	$M + N$	If $M$ is a RE for the set $M$ and $N$ is a RE for the set $N$ , then $M+N$ is a RE for the set $M \cup N$
<b>Concatenation</b>	$M \bullet N$	If $M$ is a RE for the set $M$ and $N$ is a RE for the set $N$ , then $M.N$ is a RE for the set $M \cdot N$
<b>Kleene-*</b>	$M^*$	If $M$ is a RE for the set $M$ , then $M^*$ is a RE for the set $M^*$

## Regular Expressions (RE)

Operation	Notation	Language	UNIX
Alternation	$r_1 + r_2$	$L(r_1) \cup L(r_2)$	$r_1   r_2$
Concatenation	$r_1 \bullet r_2$	$L(r_1) \cdot L(r_2)$	$(r_1)(r_2)$
Kleene-*	$r^*$	$L(r)^*$	$(r)^*$
Kleene-+	$r^+$	$L(r)^+$	$(r)^+$
Exponentiation	$r^n$	$L(r)^n$	$(r)\{n\}$

## Regular Expressions (RE)

### Example

For the alphabet  $\Sigma = \{0, 1\}$

$0+1$  is a RE denote the set  $\{0\} \cup \{1\}$

$0^*$  is a RE denote the set  $\{0\}^* = \{\lambda, 0, 00, \dots\}$

$0.1^*$  is a RE denote the set  $\{0\} \cdot \{1\}^* = \{0, 01, 011, \dots\}$

## Regular Expressions (RE)

### Notes

For a RE  $r$ ,  $r^f = r.r \dots r$   $f$ -times

Operations precedence:  $*$   $>$   $.$   $>$   $+$

So we can omit many parentheses, for example: the RE  $((0(1^*)+0)$  can be written as  $01^*+0$

We may abbreviate  $rr^*$  to  $r^+$

The corresponding set (language) denoted by a RE  $r$  will be expressed as  $L(r)$

## Nondeterministic Finite Automata (NFA)

### Definition

A nondeterministic finite automaton (NFA)  $M$  is defined by a 5-tuple  $M=(Q,\Sigma,\delta,q_0,F)$ , with

- ☐  $Q$ : finite set of states
- ☐  $\Sigma$ : finite input alphabet
- ☐  $\delta$ : transition function  $\delta:Q \times \Sigma \rightarrow P(Q)$
- ☐  $q_0 \in Q$ : start state
- ☐  $F \subseteq Q$ : set of final states

## Nondeterministic Finite Automata (NFA)

### Definition

A string  $w$  is **accepted** by an NFA  $M$  if and only if *there exists* a path starting at  $q_0$  which is labeled by  $w$  and ends in a final state.

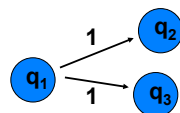
The **language accepted by** an NFA  $M$  is the set of all strings which are accepted by  $M$  and is denoted by  $L(M)$ .

$$L(M) = \{w: \delta(q_0, w) \cap F \neq \emptyset\}$$

## Nondeterministic Finite Automata (NFA)

### Definition

A nondeterministic finite automaton has transition rules like:



:

:

Nondeterministic transition

### Nondeterministic Finite Automata (NFA)

#### Nondeterminism ~ Parallelism

For any string  $w$ , the nondeterministic automaton can be in a subset  $\subseteq Q$  of several possible states.

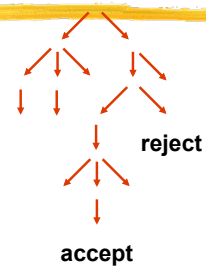
If the final set contains a final state, then the automaton accepts the string.

"The automaton processes the input in a parallel fashion; its computational path is no longer a line, but more like a tree".

#### Deterministic Computation



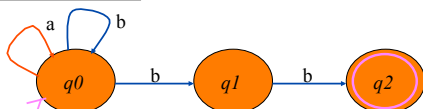
#### Non-Deterministic Computation



### Nondeterministic Finite Automata (NFA)

We can write the NFA in two ways

#### 1. State digraph



#### 2. Table

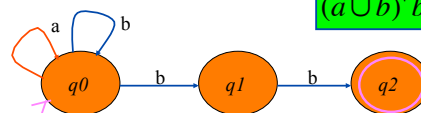
d	a	b
$q_0$	$\{q_0\}$	$\{q_0, q_1\}$
$q_1$	f	$\{q_2\}$
$q_2$	f	f

### Nondeterministic Finite Automata (NFA)

#### Example 1

Write an NFA for the language, over  $\Sigma = \{a, b\}$ , ending in  $bb$

$(a \cup b)^* bb$



$Q = \{q_0, q_1, q_2\}$   
 $\Sigma = \{a, b\}$   
 $F = \{q_2\}$

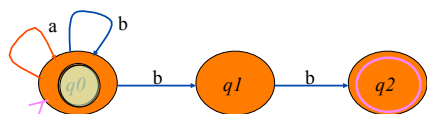
Check the input  $abb$ ?

### Nondeterministic Finite Automata (NFA)

#### Quiz

Check the input abb?

$$(a \cup b)^* bb$$



Input:

a b b

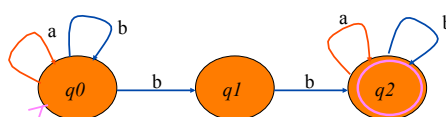
q2 is a final state hence the input abb is accepted

### Nondeterministic Finite Automata (NFA)

#### Example 2

Write an NFA for the language, over  $\Sigma = \{a, b\}$ ,

$$L = (a \cup b)^* bb (a \cup b)^*$$

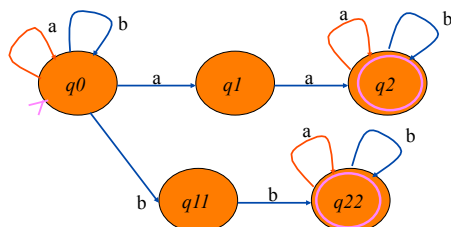


### Nondeterministic Finite Automata (NFA)

#### Example 3

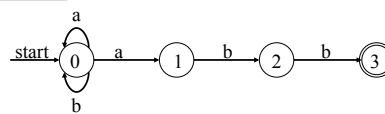
Write an NFA for the language, over  $\Sigma = \{a, b\}$ ,

$$L = (a \cup b)^* (aa \cup bb) (a \cup b)^*$$



### Nondeterministic Finite Automata (NFA)

#### Example 4



What language is accepted by this NFA?

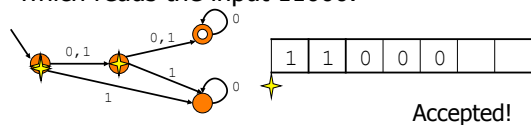
Answer:

$$(a+b)^* abb$$

## Nondeterministic Finite Automata (NFA)

### Example 5

For example, consider the following NFA which reads the input 11000.



## NFA → DFA

**Theorem:** For every language  $L$  that is accepted by a nondeterministic finite automaton, there is a (deterministic) finite automaton that accepts  $L$  as well. DFA and NFA are equivalent computational models.

**Proof idea:** When keeping track of a nondeterministic computation of an NFA  $N$  we use many 'fingers' to point at the subset  $\subseteq Q$  of states of  $N$  that can be reached on a given input string.

We can simulate this computation with a deterministic automaton  $M$  with state space  $P(Q)$ .

## NFA → DFA

### Proof

Let  $L$  be the language recognized by the NFA  $N = (Q, \Sigma, \delta, q_0, F)$ . Define the DFA  $M = (Q', \Sigma, \delta', q'_0, F')$  by

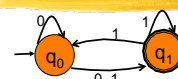
1.  $Q' = P(Q)$
2.  $\delta'(R, a) = \{ q \in Q \mid q \in \delta(r, a) \text{ for an } r \in R \}$
3.  $q'_0 = \{q_0\}$
4.  $F' = \{R \in Q' \mid R \text{ contains a 'final state' of } N\}$

It is easy to see that the previously described deterministic finite automaton  $M$  accepts the same language as  $N$ .

## NFA → DFA

### Example 1

Convert the NFA:



into a DFA?

Given NFA

$Q = \{q_0, q_1\}$

$q_0$

$F = \{q_1\}$

Constructed DFA

$Q' = P(Q) = \{\emptyset, \{q_0\}, \{q_1\}, \{q_0, q_1\}\}$

$q'_0 = \{q_0\}$

$F' = \{\{q_1\}, \{q_0, q_1\}\}$

For  $\delta'$  see the next slide

## NFA → DFA

### Example 1

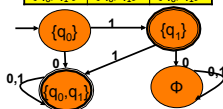
Convert the NFA:  into a DFA?

#### Given NFA

$\delta(q_0, 0) = \{q_0, q_1\} \Rightarrow \delta'(\{q_0\}, 0) = \{q_0, q_1\}$   
 $\delta(q_0, 1) = \{q_1\} \Rightarrow \delta'(\{q_0\}, 1) = \{q_1\}$   
 $\delta(q_1, 0) = \{q_0\} \Rightarrow \delta'(\{q_1\}, 0) = \{q_0\}$   
 $\delta(q_1, 1) = \{q_1\} \Rightarrow \delta'(\{q_1\}, 1) = \{q_1\}$   
 $\delta'(\{q_0, q_1\}, 0) = \delta(q_0, 0) \cup \delta(q_1, 0) = \{q_0, q_1\}$   
 $\delta'(\{q_0, q_1\}, 1) = \delta(q_0, 1) \cup \delta(q_1, 1) = \{q_1\}$

#### Constructed DFA

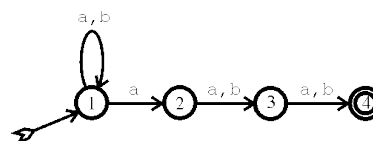
$\delta'$	0	1
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_1\}$
$\{q_1\}$	$\{q_0\}$	$\{q_1\}$
$\{q_0, q_1\}$	$\{q_0, q_1\}$	$\{q_1\}$



## NFA → DFA

### Example 2

Start with the NFA:



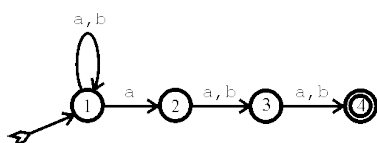
Q1: What's the accepted language?

Q2: How many states does the subset construction create in this case?

## NFA → DFA

### Example 2

A1:  $L = \{x \in \{a, b\}^* \mid \text{3rd bit of } x \text{ from right is } a\}$



A2:  $16 = 2^4$  states.

That's a lot of states. Would be nice if only had to construct useful states, I.e. those that can be reached from start state.

## NFA → DFA

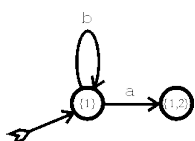
### Example 2

Start with  $\{1\}$ :

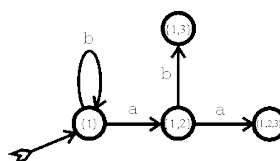


**NFA  $\rightarrow$  DFA****Example 2**

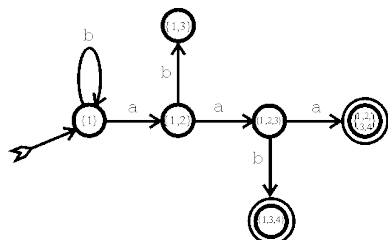
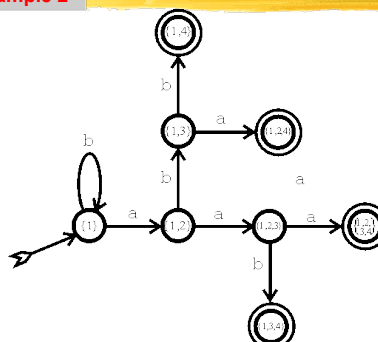
Branch out. Notice that  $\delta(1,a) = \{1,2\}$ .

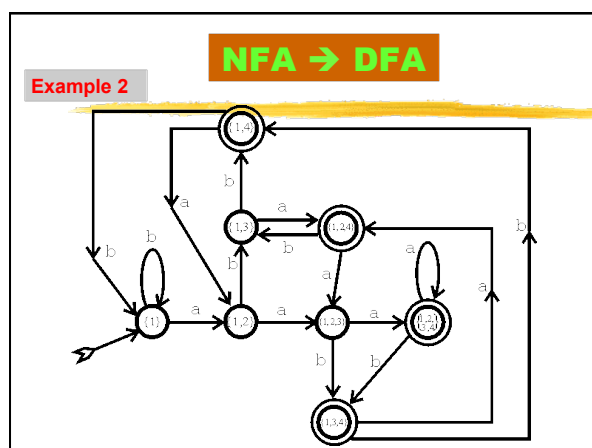
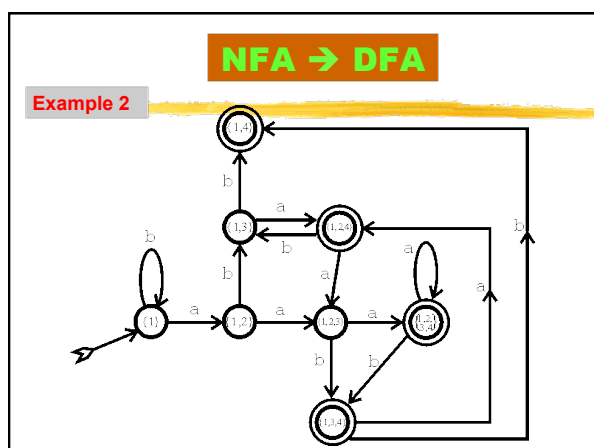
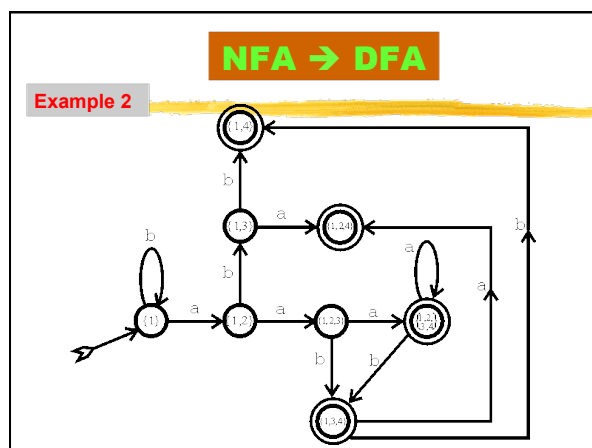
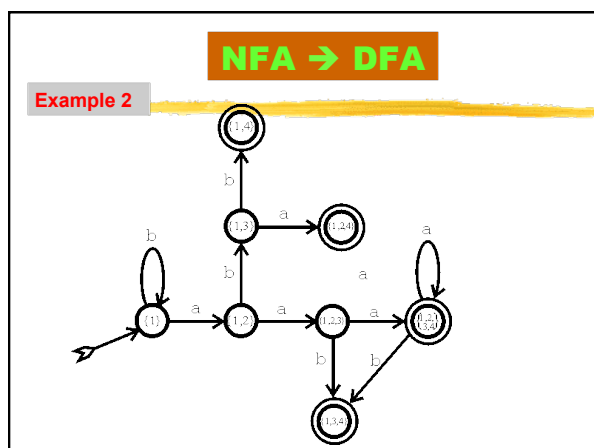
**NFA  $\rightarrow$  DFA****Example 2**

Branch out. Notice that  $\delta'(\{1,2\},a) = \{1,2,3\}$ .

**NFA  $\rightarrow$  DFA****Example 2**

Branch out. Note that  $\delta'(\{1,2,3\},a) = \{1,2,3,4\}$

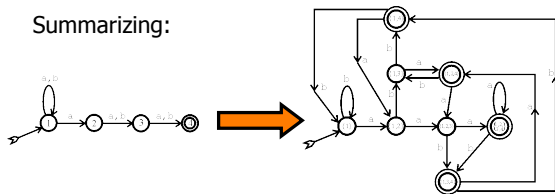
**NFA = DFA****Example 2**





**NFA  $\rightarrow$  DFA****Example 2**

Summarizing:



Therefore, we saved 50% effort by not constructing all possible states unthinkingly.

**NFA  $\rightarrow$  DFA****Exercise**

Convert the following NFA into an equivalent DFA?

