

# **Automata and Languages**

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# Mathematical Background

Sets

Relations

Functions

Graphs

Proof techniques

# Functions

A function  $f$  is a binary relation (i.e.  $f \subseteq A \times B$ ) written as  $f: A \rightarrow B$  such that for  $x \in A$  there exist at most one  $y \in B$  for which  $(x, y) \in f$ , which we write as  $f(x)=y$ .

In other words:  $f$  is a function if

$f(x) = y, f(x) = z$  implies  $y = z$

Example:  $f: \mathbb{N} \rightarrow \mathbb{N}$  where  $f(n) = n+1$  is a function on natural numbers.

# Functions. Example

Example: Let  $f: \mathbf{Z} \rightarrow \mathbf{R}$  be given by  $f(x) = x^2$

Q1: What are the domain and co-domain? ( $\mathbf{Z}, \mathbf{R}$ )

Q2: What's the image of -3 ? (9)

Q4: What is the range  $f(\mathbf{Z})$  ? (set of perfect squares  $f(\mathbf{Z}) = \{0, 1, 4, 9, 16, 25, \dots\}$ )

# One-to-One, Onto, Bijection.

DEF: A function  $f : A \rightarrow B$  is:

- **one-to-one** (or **injective**) if different elements of  $A$  always result in different images in  $B$ .  
i.e. for all  $a, b \in A$ ,  $f(a)=f(b)$  implies  $a=b$ .
- **onto** (or **surjective**) if the range of  $f$  is  $B$  ( $f(A) = B$ ).  
i.e. for all  $b \in B$ , there exist  $a \in A$  such that  $f(a)=b$ .
- a **one-to-one correspondence** (or a **bijection**)  
if  $f$  is both one-to-one as well as onto.  
i.e. for all  $b \in B$ , there exist a unique  $a \in A$  such that  $f(a)=b$ .

# Quiz

Q: Which of the following are 1-to-1, onto, a bijection?

1.  $f: \mathbf{Z} \rightarrow \mathbf{R}$  is given by  $f(x) = x^2$
2.  $f: \mathbf{Z} \rightarrow \mathbf{R}$  is given by  $f(x) = 2x$
3.  $f: \mathbf{R} \rightarrow \mathbf{R}$  is given by  $f(x) = x^3$
4.  $f: \mathbf{Z} \rightarrow \mathbf{N}$  is given by  $f(x) = |x|$
5.  $f: \{\text{people}\} \rightarrow \{\text{people}\}$  is given by  $f(x) = \text{the father of } x$ .

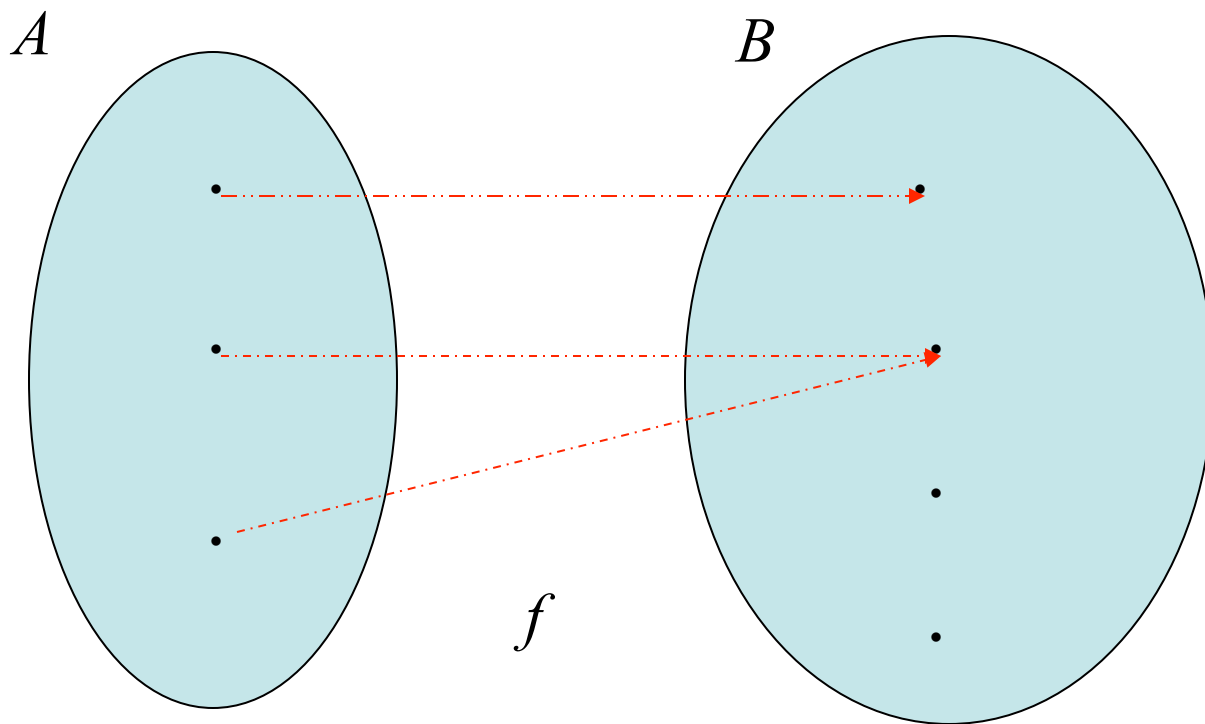
# Answer

1.  $f: \mathbf{Z} \rightarrow \mathbf{R}, f(x) = x^2$ : none
2.  $f: \mathbf{Z} \rightarrow \mathbf{R}, f(x) = 2x$ : 1-1
3.  $f: \mathbf{R} \rightarrow \mathbf{R}, f(x) = x^3$ : 1-1, onto, bijection
4.  $f: \mathbf{Z} \rightarrow \mathbf{N}, f(x) = |x|$ : onto
5.  $f(x) = \text{the father of } x$ : none

# (Total) Function

Domain

Co-domain ( $\supset$  Range)

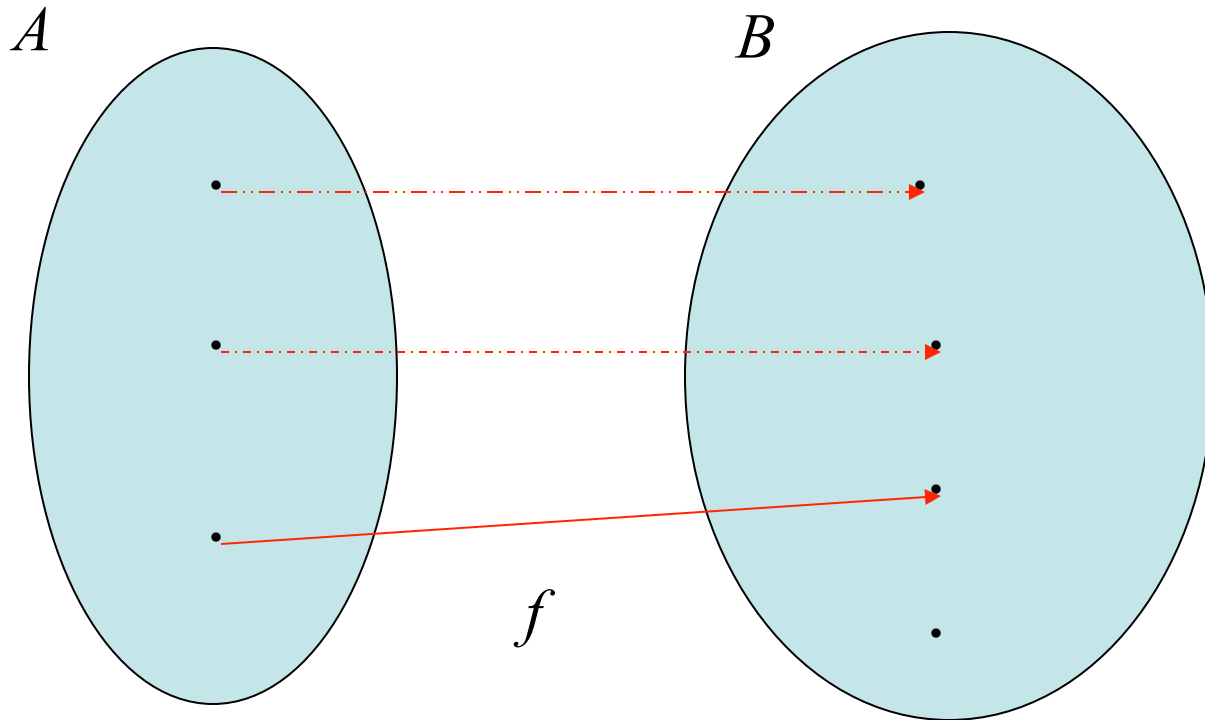


$$f : A \rightarrow B$$

# One-One Function (injection)

Domain

Co-domain ( $\supset$  Range)

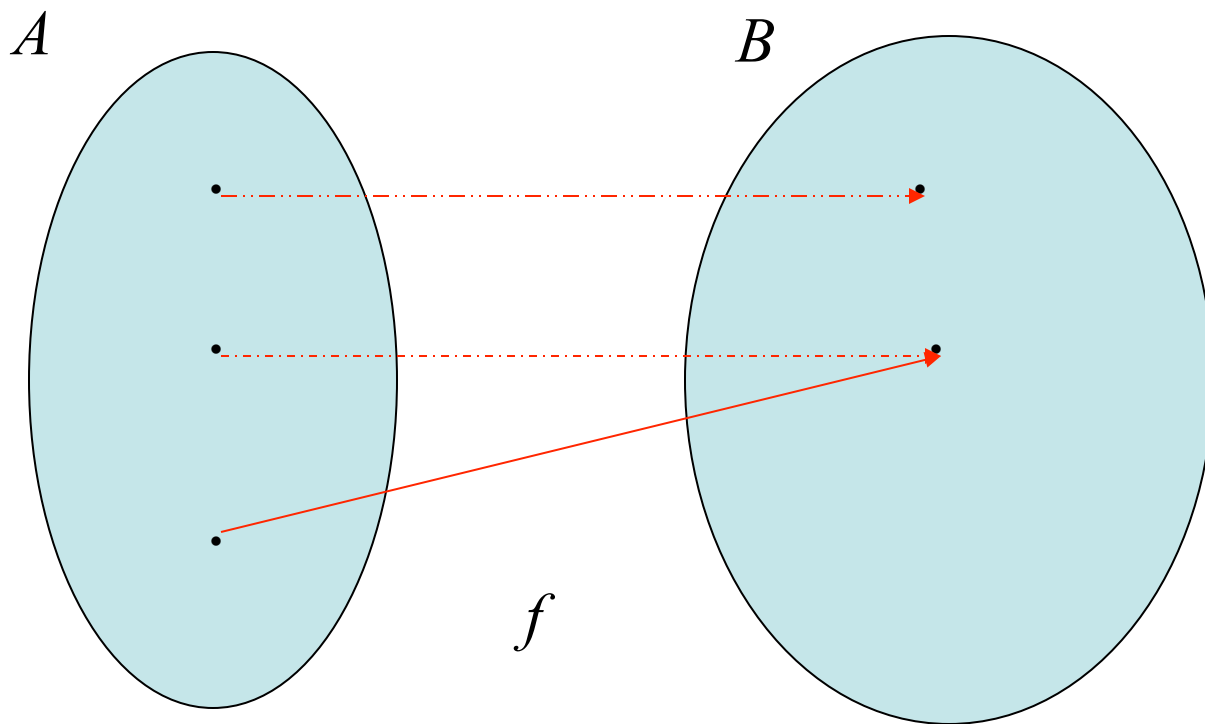


$$f : A \rightarrow B$$

# Onto Function (surjection)

Domain

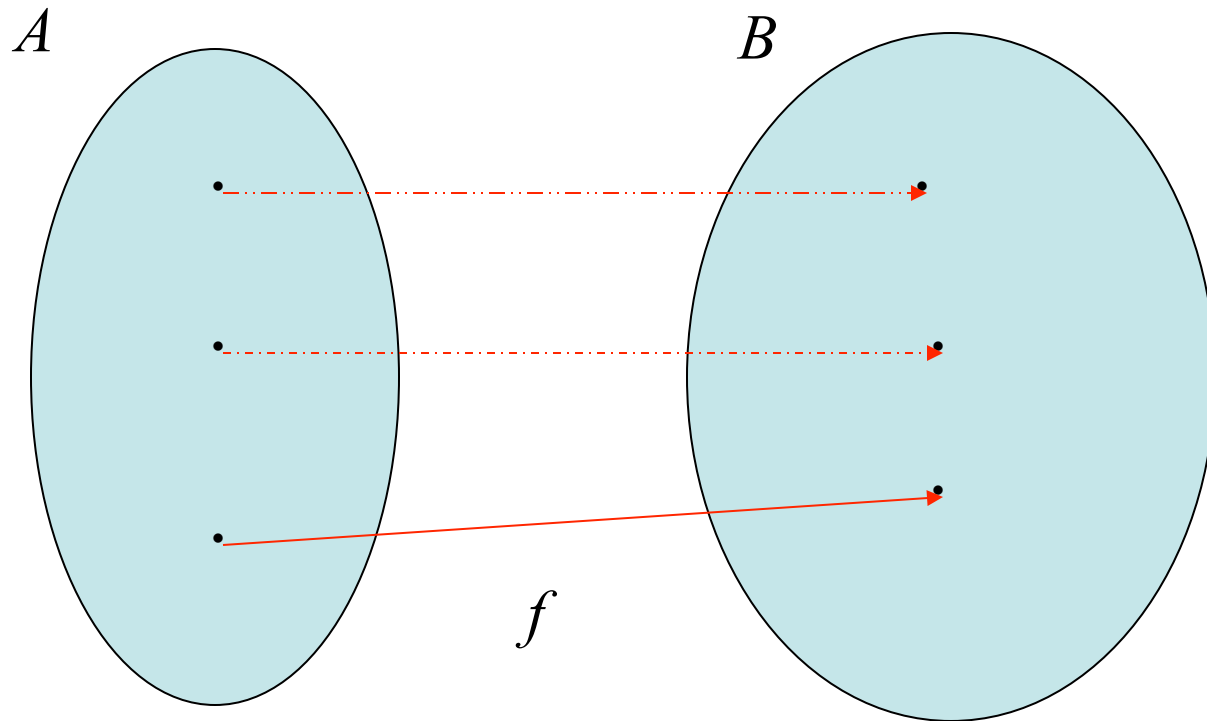
Co-domain ( = Range)



$$f : A \rightarrow B$$

# One to one correspondence Function

Domain (bijection) Co-domain ( = Range)



$$f : A \rightarrow B$$

# Graphs

A **graph**  $G = (V, E)$  consists of a non-empty set  $V$  of **vertices** (or **nodes**) and a set  $E$  (possibly empty) of **edges** where each edge is a subset of  $V$  with cardinality 2 (an unordered pair).

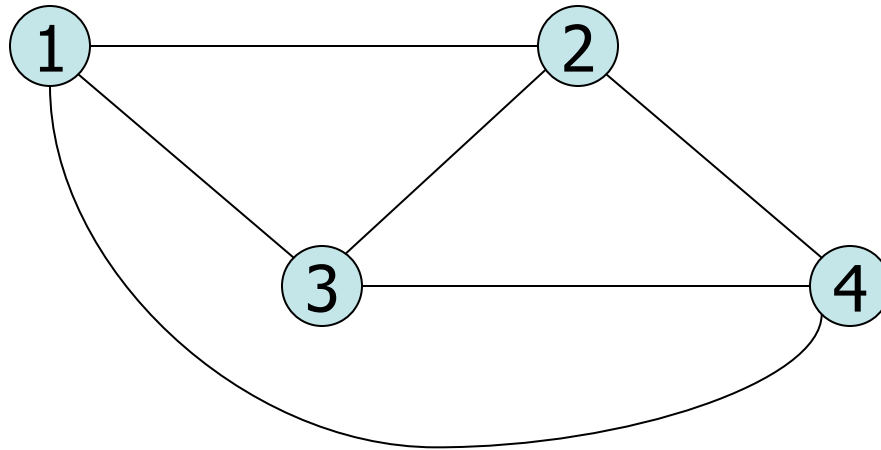
A **Path** is a sequence of vertices  $v_1, \dots, v_k$ ,  $k \geq 1$ , such that there exist an edge  $(v_i, v_{i+1})$  for all  $1 \leq i < k$ .

Note that:

1. The length of such path is  $k-1$
2. If  $v_1 = v_k$ , the path is called a **cycle** path.

# Graphs

## Example



- (1)----(2)----(4) is a path
- (1)----(3)----(4)----(1) is a cycle

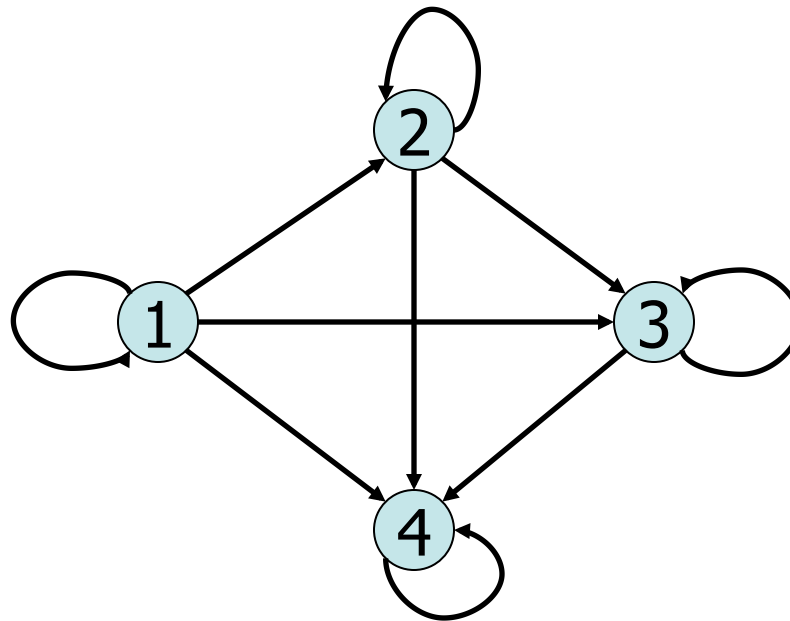
# Digraphs

A **directed graph** (or **digraph**) is a pair  $G = (V, E)$ , where  $V$  is a non-empty set of **vertices** (or **nodes**) and  $E$  is a set of **arcs** (ordered pairs of vertices) with  $E \subseteq V \times V$ .

- An arc  $(a, b)$  is denoted by  $a \rightarrow b$
- A **Path** is a sequence of vertices  $v_1, \dots, v_k, k \geq 1$ , such that there exist an arc  $v_i \rightarrow v_{i+1}$  for all  $1 \leq i < k$ .
- For an arc  $v \rightarrow w$ ,  $v$  is called **predecessor** of  $w$  and  $w$  is called **successor** of  $v$

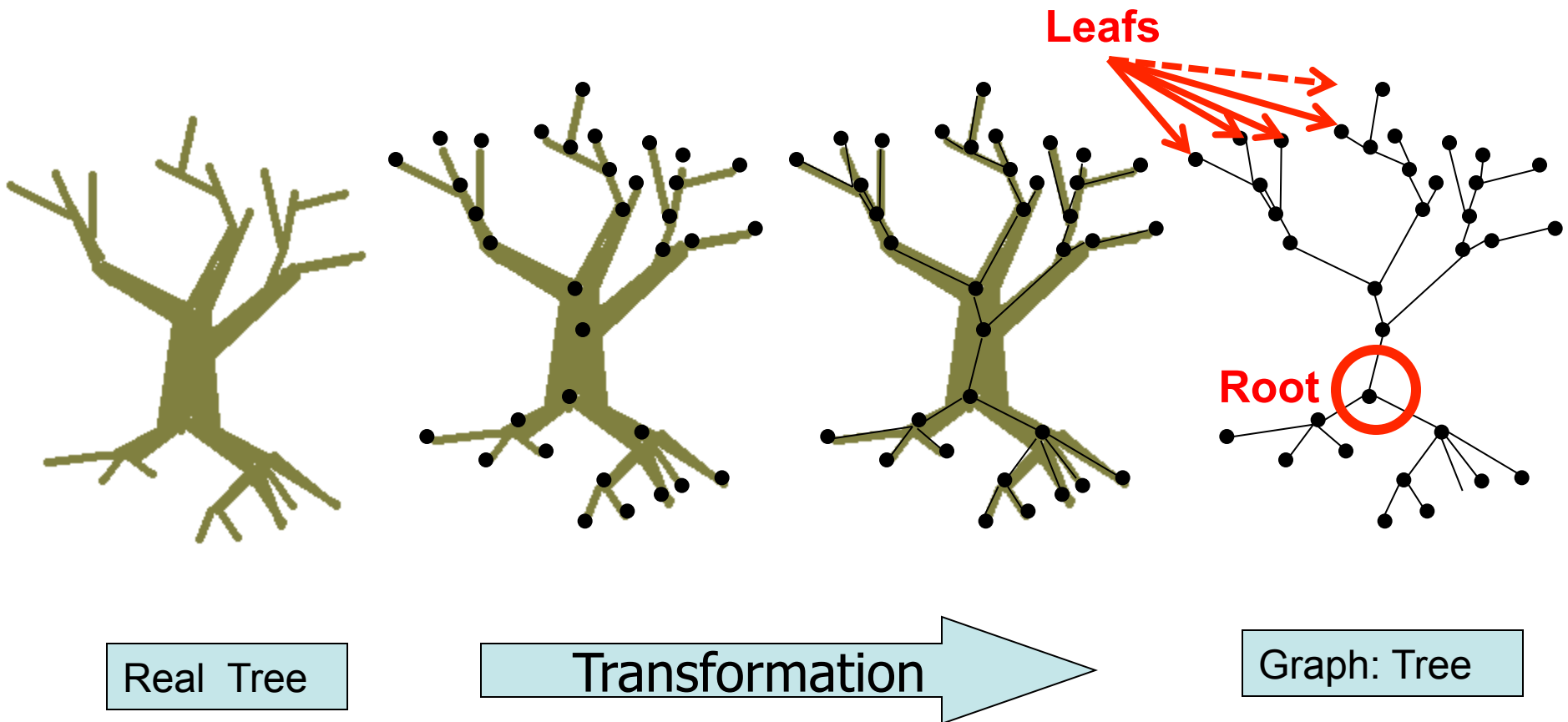
# Digraphs

## Example



# Trees

A very important type of digraph in CS is called a *tree*:



# Trees

**Definition:** A (ordered directed) tree is a digraph such that:

1. There exist one vertex called **root** with no predecessors and from which there exist a path to each other vertex
2. Each other vertex has exactly one predecessor
3. The successors of each vertex is ordered from left to right

**Conventions:**

1. We draw trees with the root at the top and all arcs are pointing downward
2. The successors of each vertex will be drawn in left-to-right order

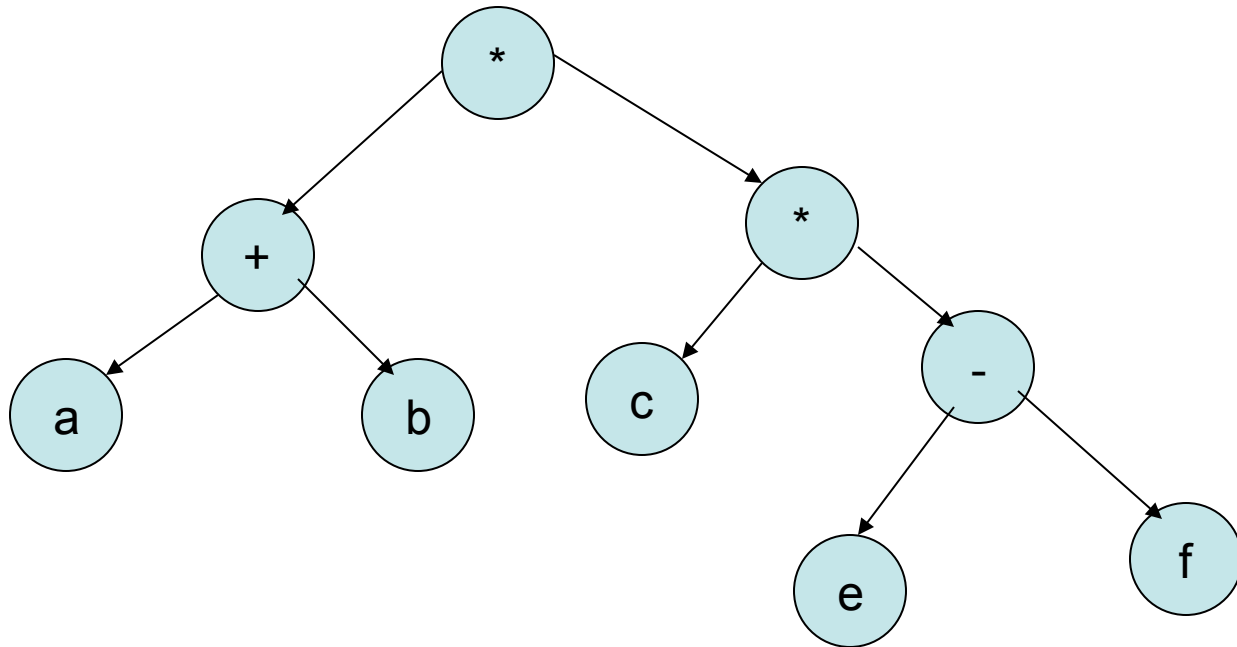
**Terminology:**

1. A successor of a vertex is called **son**
2. The predecessor of a vertex is called a **father**
3. For a path  $v_1 \rightarrow \dots \rightarrow v_n$ ,  $v_1$  is called ancestor of  $v_n$  and  $v_n$  is called **descendent** of  $v_1$
4. Any vertex is an ancestor and descendent of itself
5. A vertex with no sons is called **leaf**, other vertices are called interior

# Trees

## Example

If  $V=\{a,b,c,e,f,+,*,-\}$  and  $E$  is defined by the expression  $(a+b)*(c*(e-f))$ , then  
We can draw the tree as follows:



# Proof Techniques

- Proofs by Mathematical Induction
- Proofs by contradiction

# Mathematical Induction

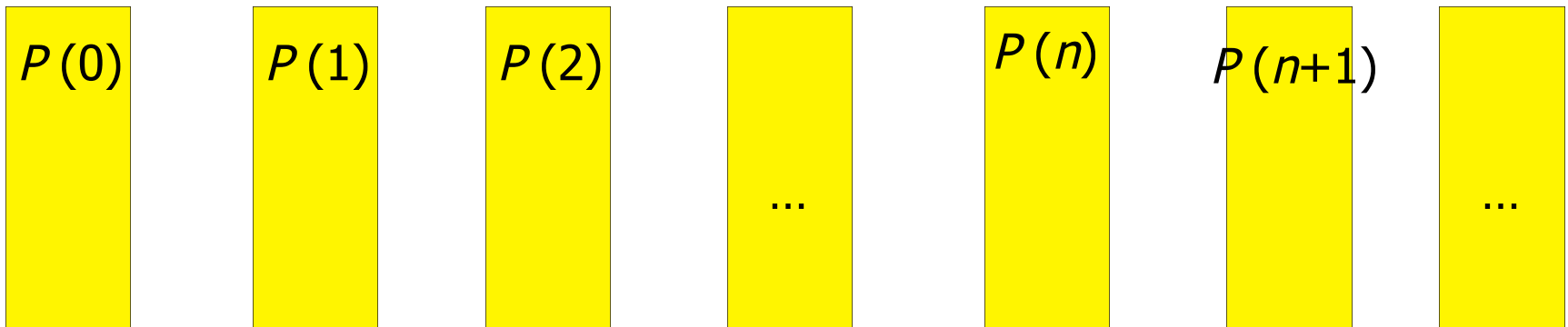
Suppose we have a sequence of propositions which we would like to prove:

$P(0), P(1), P(2), P(3), P(4), \dots P(n), \dots$

**For Example:**  $P(n): \sum_{i=1}^n (2i-1) = n^2$

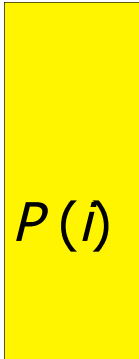
(The sum of the first  $n$  positive odd numbers is the  $n^{\text{th}}$  perfect square)

We can picture each proposition as a domino:



# Mathematical Induction

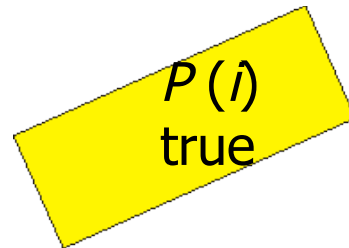
When the domino falls (to right), the corresponding proposition  $P(i)$  is considered true:



$P(i)$

# Mathematical Induction

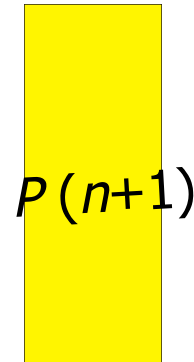
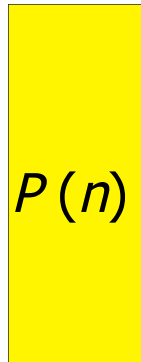
When the domino falls (to right), the corresponding proposition  $P(i)$  is considered true:



# Mathematical Induction

Suppose that the dominos satisfy two conditions.

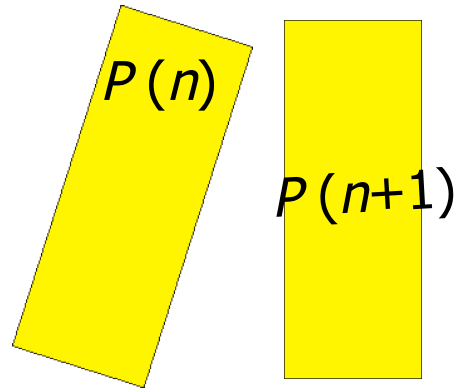
1) Well-positioned: If any domino falls (to right), next domino (to right) must fall also.



# Mathematical Induction

Suppose that the dominos satisfy two conditions.

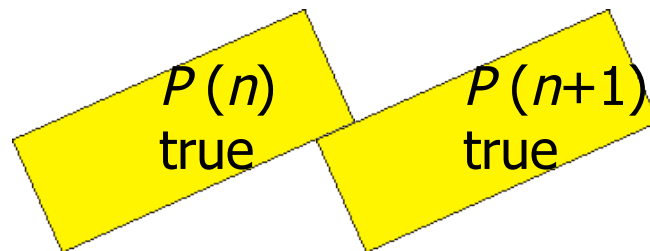
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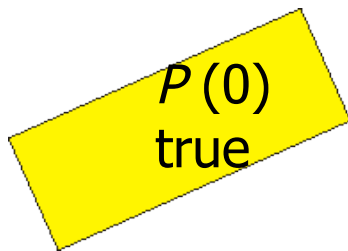
# Mathematical Induction

Suppose that the dominos satisfy two conditions.

1) Well-positioned: If any domino falls (to right), next domino (to right) must fall also.



2) First domino has fallen to right



# Mathematical Induction

Then can conclude that all the dominos fall!

$P(0)$

$P(1)$

$P(2)$

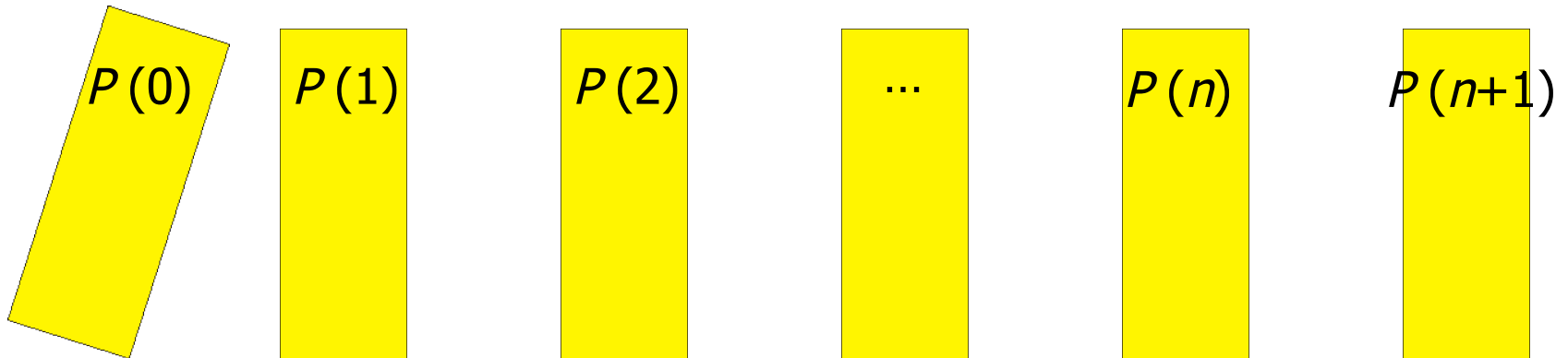
...

$P(n)$

$P(n+1)$

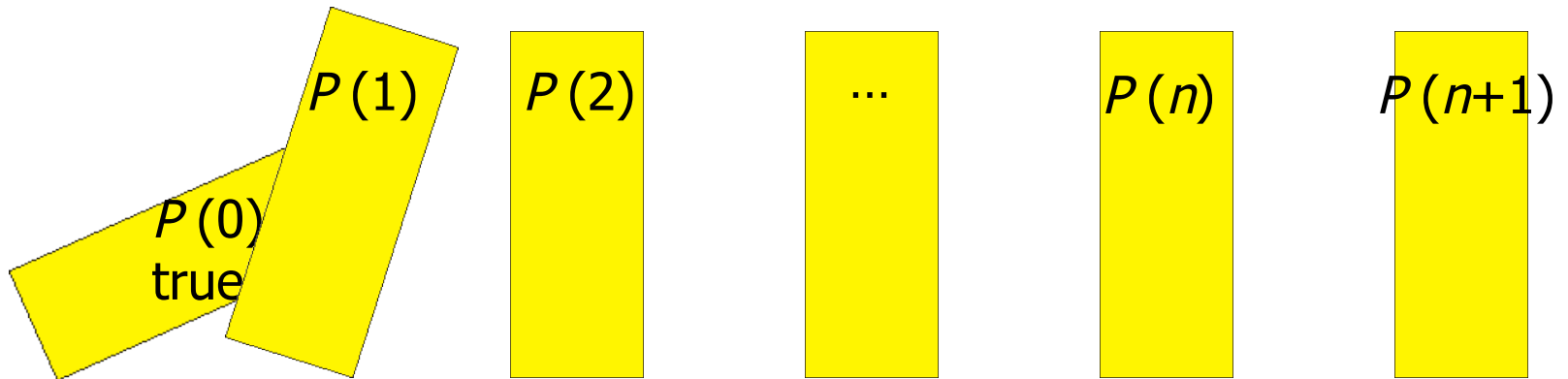
# Mathematical Induction

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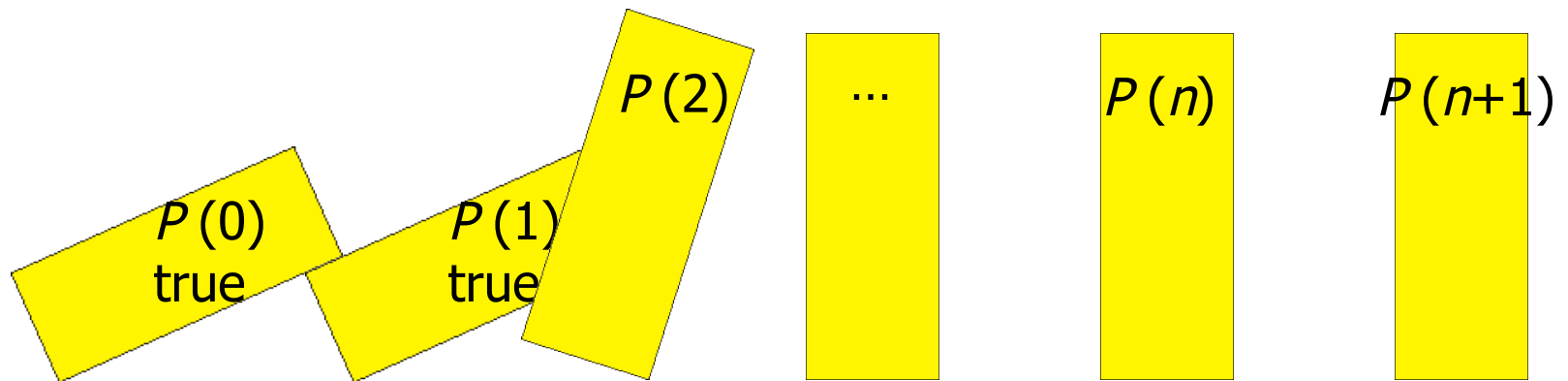
# Mathematical Induction

Then can conclude that all the dominos fall!



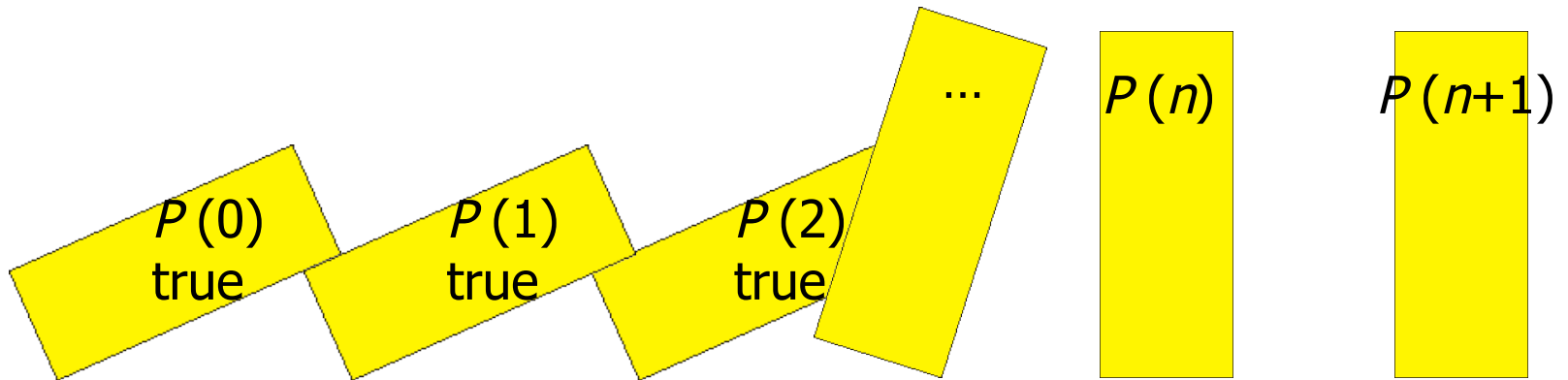
# Mathematical Induction

Then can conclude that all the dominos fall!



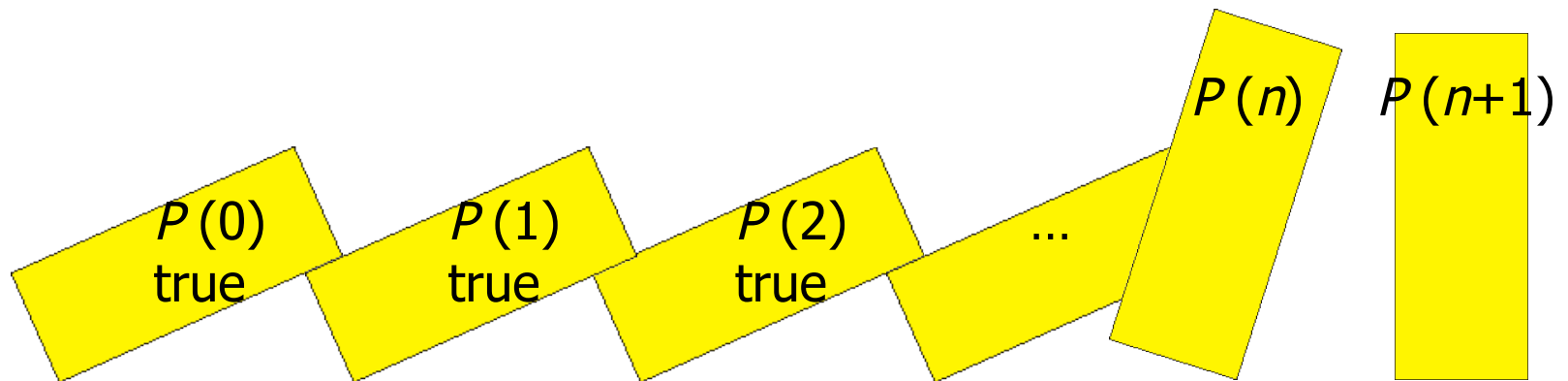
# Mathematical Induction

Then can conclude that all the dominos fall!



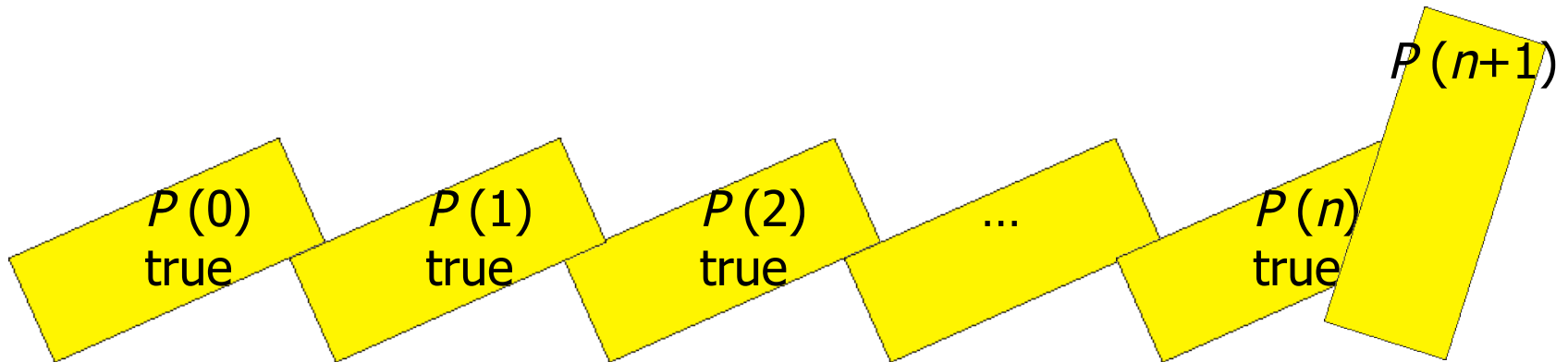
# Mathematical Induction

Then can conclude that all the dominos fall!



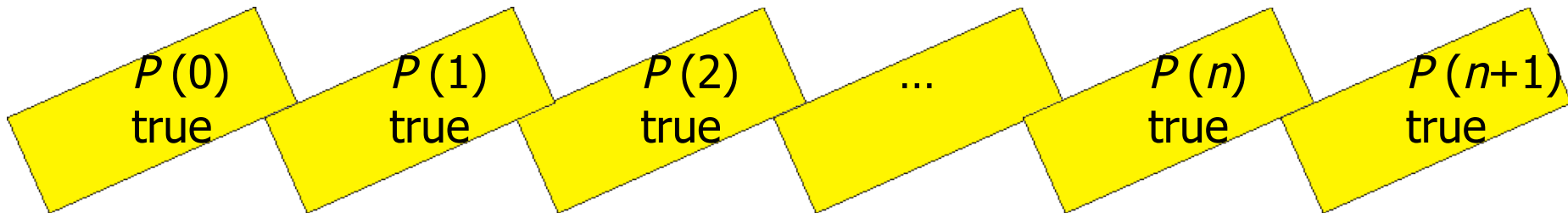
# Mathematical Induction

Then can conclude that all the dominos fall!



# Mathematical Induction

Then can conclude that all the dominos fall!



# Mathematical Induction

## Principle of Mathematical Induction:

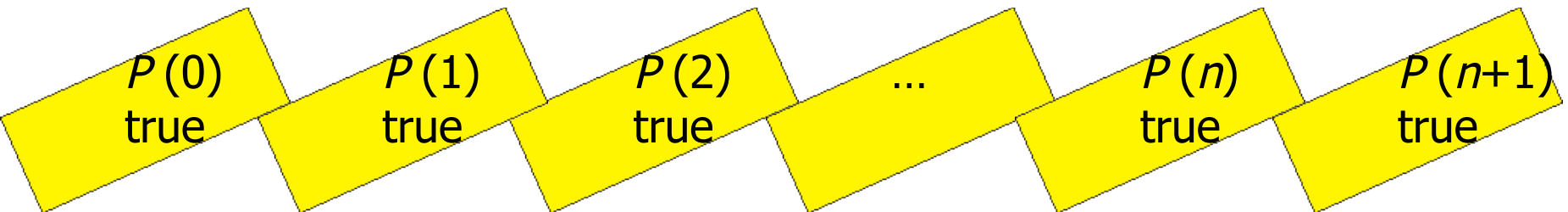
If:

1. [**induction basis**]  $P(0)$  is true
2. [**induction hypothesis & step**]  $\forall n \ P(n) \rightarrow P(n+1)$  is true

Then:

$\forall n \ P(n)$  is true

This formalizes what occurred to dominos.



# Mathematical Induction

In other words, what we need to do is:

1. [**induction basis**] Show that the statement  $P(n)$  holds for  $n = 0$  (or whatever the smallest case is).
2. [**induction hypothesis**] Assume that  $P(n)$  is true
3. [**Induction step**] Show that  $P(n+1)$  is true

We then conclude that  $\forall n \ P(n)$  is true

# Mathematical Induction: Example

Prove that  $\forall n \geq 0$   $P(n)$  is true where

$$P(n): \sum_{i=1}^n (2i - 1) = n^2$$

(The sum of the first  $n$  positive odd numbers is the  $n^{\text{th}}$  perfect square)

We give two proofs:

1. Geometrical proof
2. Mathematical induction proof

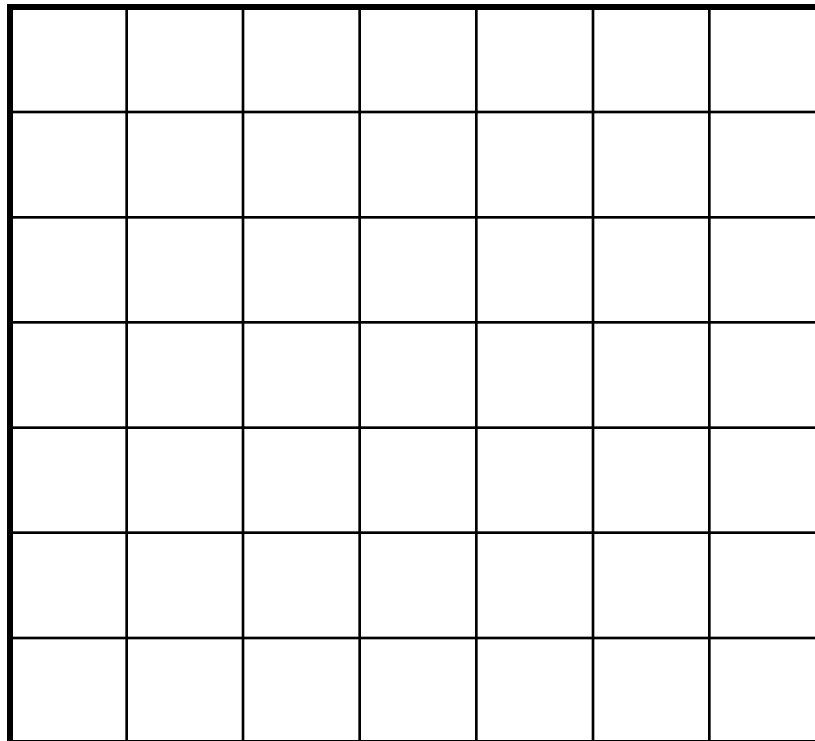
# Mathematical Induction: Example

## 1. Geometrical proof

### Geometric interpretation.

To get next square, need to add next odd number:

The sum of  
the first  $n$   
positive  
odd  
numbers



the  $n^{\text{th}}$   
perfect  
square

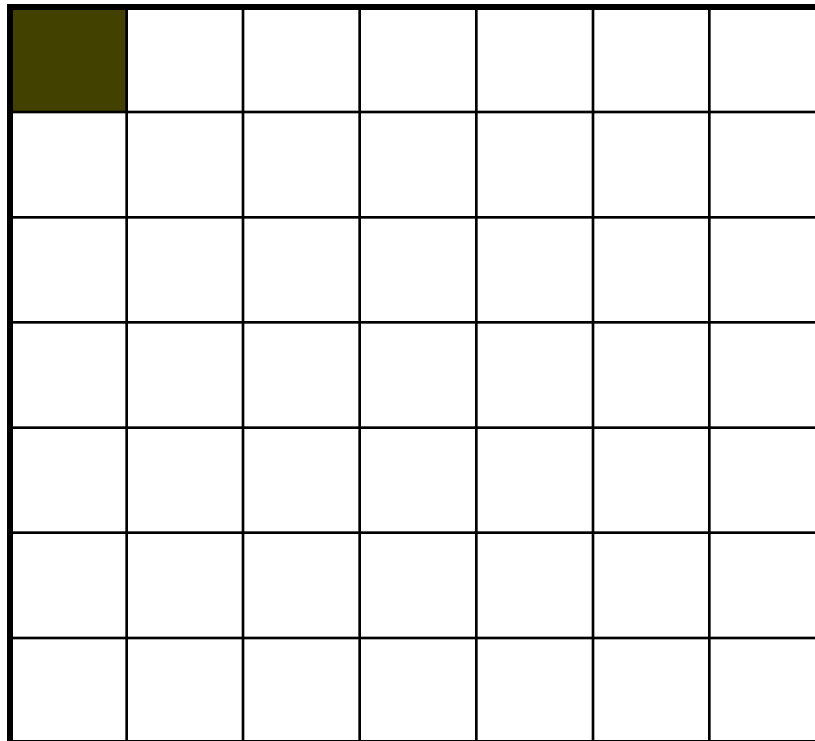
# Mathematical Induction: Example

## Geometric interpretation.

To get next square, need to add next odd number:

The sum of  
the first  $n$   
positive  
odd  
numbers

1



$$=1 = 1^2$$

the  $n^{\text{th}}$   
perfect  
square

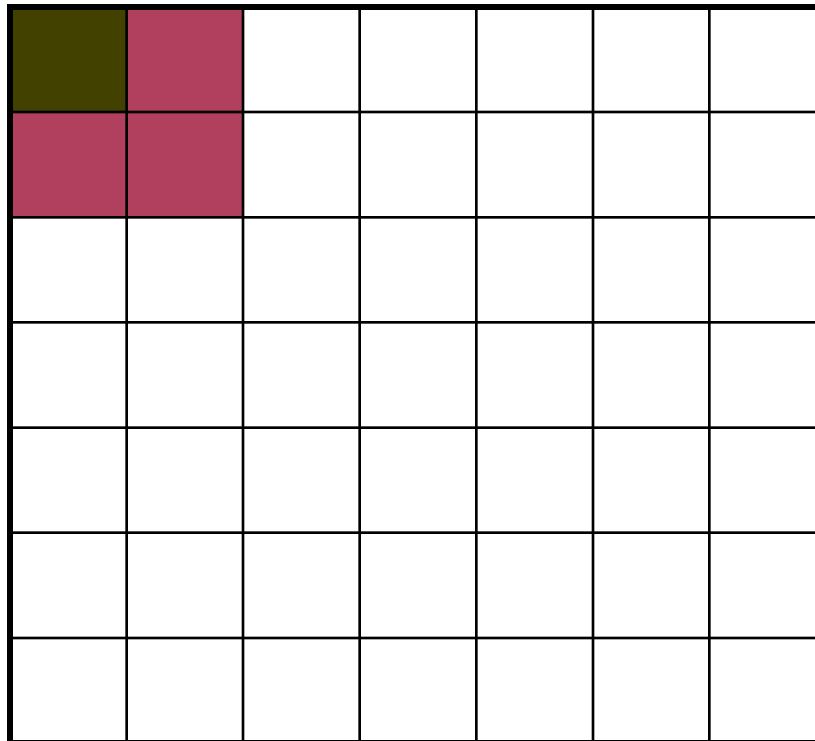
# Mathematical Induction: Example

## Geometric interpretation.

To get next square, need to add next odd number:

The sum of  
the first  $n$   
positive  
odd  
numbers

1  
+ 3



$$= 1 = 1^2$$

$$= 4 = 2^2$$

the  $n^{\text{th}}$   
perfect  
square

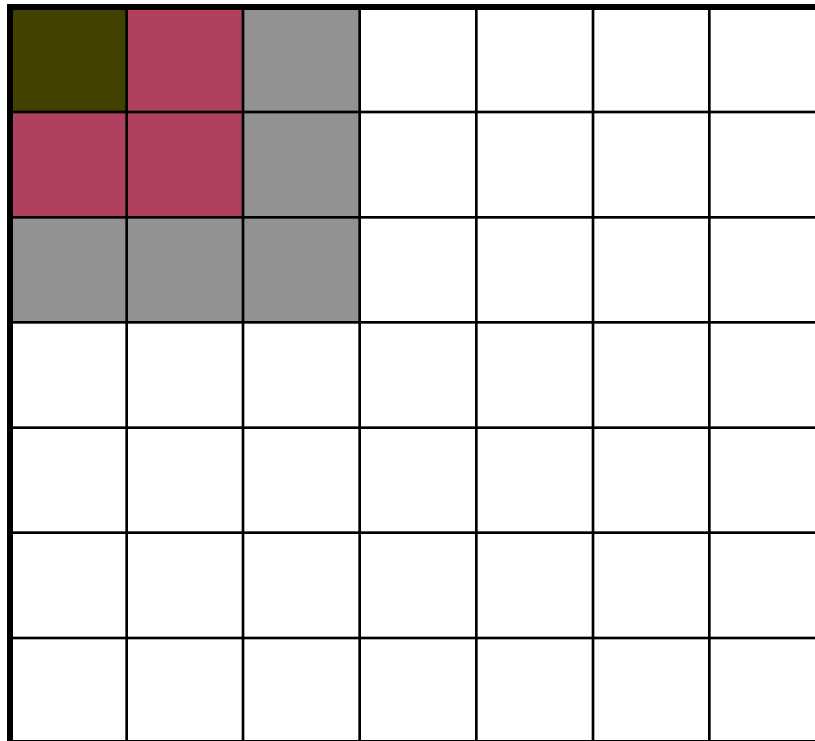
# Mathematical Induction: Example

## Geometric interpretation.

To get next square, need to add next odd number:

The sum of  
the first  $n$   
positive  
odd  
numbers

1  
+ 3  
+ 5



$$=1 = 1^2$$

$$=4 = 2^2$$

$$=9 = 3^2$$

the  $n^{\text{th}}$   
perfect  
square

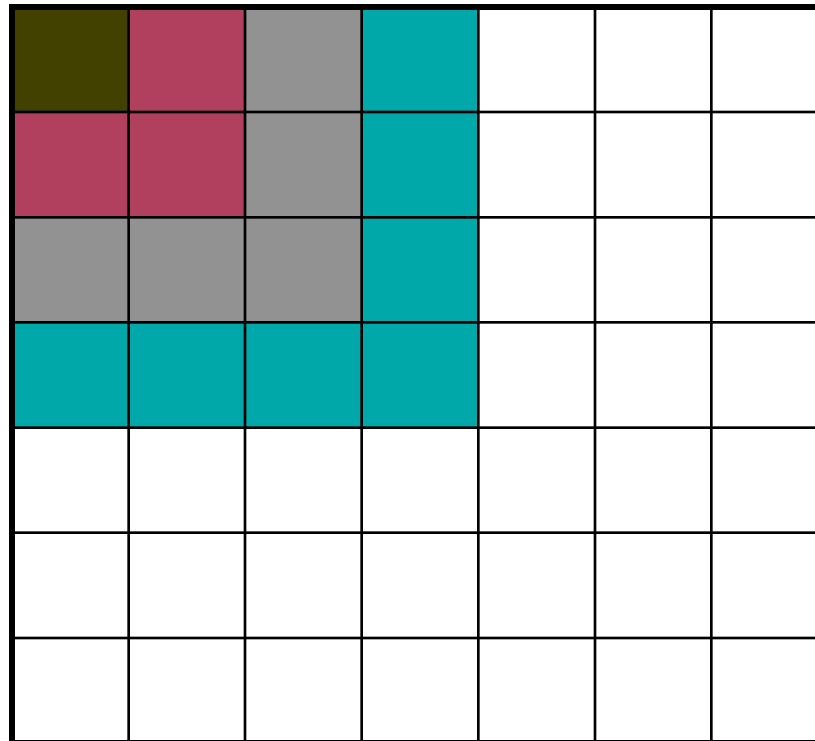
# Mathematical Induction: Example

## Geometric interpretation.

To get next square, need to add next odd number:

The sum of  
the first  $n$   
positive  
odd  
numbers

1  
+ 3  
+ 5  
+ 7



$$=1 = 1^2$$

$$=4 = 2^2$$

$$=9 = 3^2$$

$$=16 = 4^2$$

the  $n^{\text{th}}$   
perfect  
square

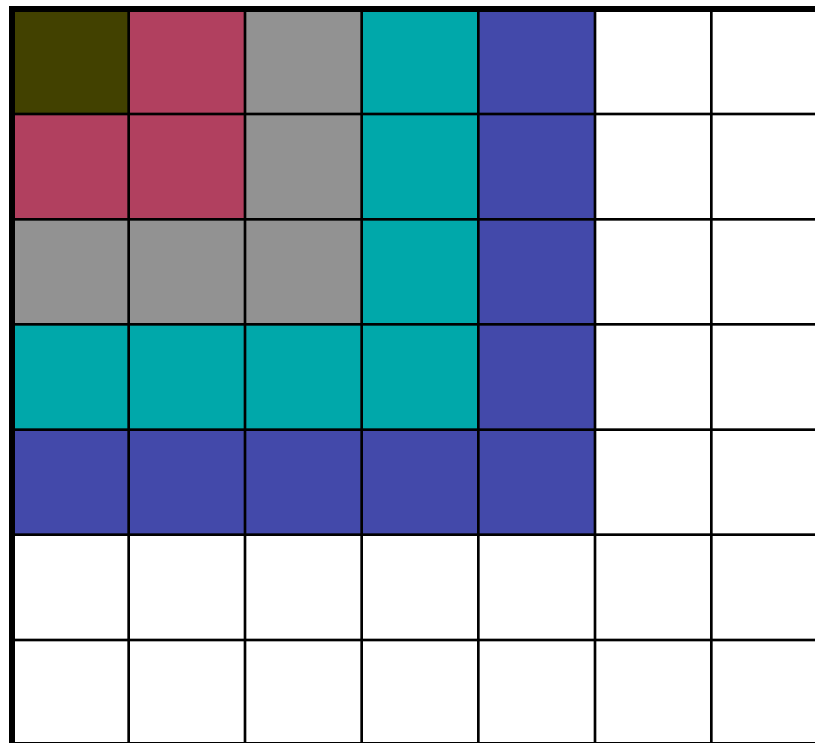
# Mathematical Induction: Example

## Geometric interpretation.

To get next square, need to add next odd number:

The sum of  
the first  $n$   
positive  
odd  
numbers

1  
+ 3  
+ 5  
+ 7  
+ 9



$$=1 = 1^2$$

$$=4 = 2^2$$

$$=9 = 3^2$$

$$=16 = 4^2$$

$$=25 = 5^2$$

the  $n^{\text{th}}$   
perfect  
square

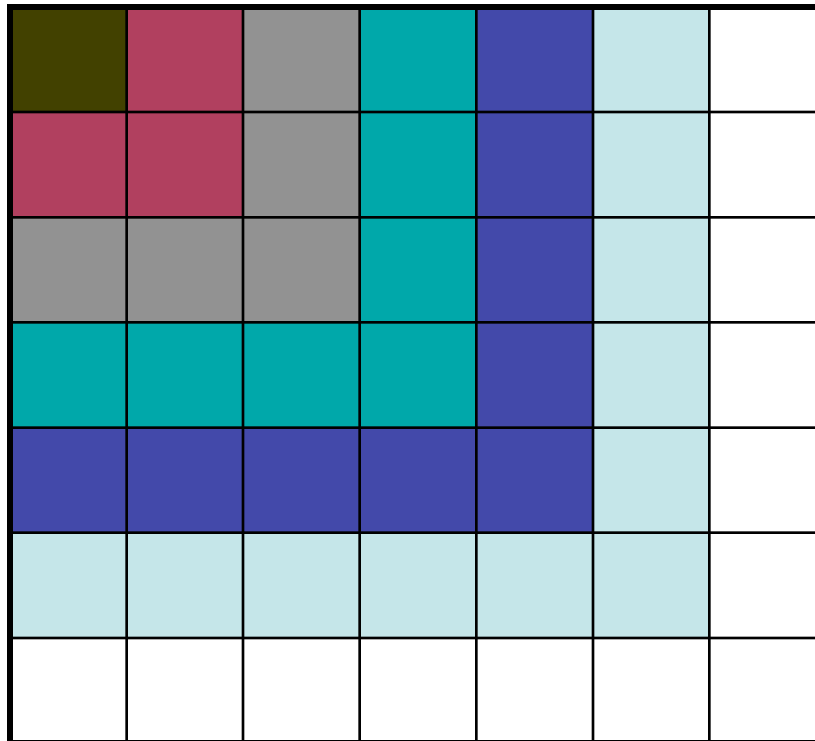
# Mathematical Induction: Example

## Geometric interpretation.

To get next square, need to add next odd number:

The sum of  
the first  $n$   
positive  
odd  
numbers

1  
+ 3  
+ 5  
+ 7  
+ 9  
+ 11



$$=1 = 1^2$$

$$=4 = 2^2$$

$$=9 = 3^2$$

$$=16 = 4^2$$

$$=25 = 5^2$$

$$=36 = 6^2$$

the  $n^{\text{th}}$   
perfect  
square

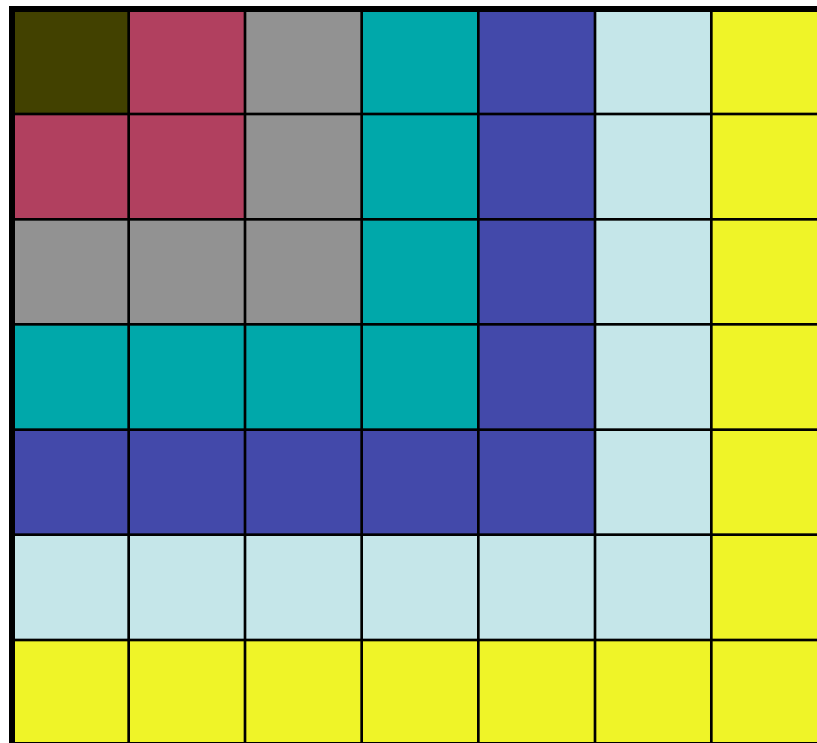
# Mathematical Induction: Example

## Geometric interpretation.

To get next square, need to add next odd number:

The sum of  
the first  $n$   
positive  
odd  
numbers

1  
+ 3  
+ 5  
+ 7  
+ 9  
+ 11  
+ 13



$$=1 = 1^2$$

$$=4 = 2^2$$

$$=9 = 3^2$$

$$=16 = 4^2$$

$$=25 = 5^2$$

$$=36 = 6^2$$

$$=49 = 7^2$$

the  $n^{\text{th}}$   
perfect  
square

# Mathematical Induction: Example

## 2. Mathematical induction proof

**1. Basis:** we would like to show that:  $\sum_{i=1}^0 (2i - 1) = 0^2$

This is obvious to see

**2. Hypothesis:** assume that  $P(n)$  is true, i.e.  $\sum_{i=1}^n (2i - 1) = n^2$

**3. Step:** show that  $P(n+1)$  is true

$$\begin{aligned}\sum_{i=1}^{n+1} (2i - 1) &= \sum_{i=1}^n (2i - 1) + [2(n + 1) - 1] \\ &= n^2 + [2n + 1] \\ &= (n + 1)^2\end{aligned}$$

# Proof by Contradiction

**To prove that some statement  $P$  is true we do:**

1. Assume that  $P$  is false
2. Continue in the proof based on the nature of  $P$
3. If we reach a wrong conclusion (**contradiction**) we conclude that  $P$  is true

## ***Mathematical interpretation of Proof by Contradiction***

For any  $k$  assume:  $P(k) \wedge \neg Q(k)$

and derive:  $\neg P(k) \vee Q(k)$

Uses the logical equivalence:

$$\begin{aligned} P \rightarrow Q &\Leftrightarrow \neg P \vee Q \Leftrightarrow \neg P \vee Q \vee \neg P \vee Q \\ &\Leftrightarrow (\neg P \vee Q) \vee (\neg P \vee Q) \Leftrightarrow \neg(P \wedge \neg Q) \vee (\neg P \vee Q) \\ &\Leftrightarrow (P \wedge \neg Q) \rightarrow (\neg P \vee Q) \end{aligned}$$

**Intuitively:** Assume claim is false (so  $P$  must be true and  $Q$  false). Show that assumption was absurd (so  $P$  false or  $Q$  true) so claim true!

# Example

PROVE: The square of an even number  $k$  is even.

1. Assume that  $k^2$  is not even.
2. So  $k^2$  is odd.
3.  $\exists n \ k^2 = 2n + 1$
4.  $\exists n \ k^2 - 1 = 2n$
5.  $\exists n \ (k - 1)(k + 1) = 2n$
6. Since  $2n$  is even then:  $(k - 1)$  is even Or  $(k + 1)$  is even
7.  $\exists a$  such that  $k - 1 = 2a$  Or  $\exists b$  such that  $k + 1 = 2b$
8.  $\exists a$  such that  $k = 2a + 1$  Or  $\exists b$  such that  $k = 2b - 1$
9. In both cases  $k$  is odd
10. Contradiction (with the fact that the given  $k$  is an even number)
11. Our assumption ( $k^2$  is not even) is wrong
12. Hence  $k^2$  is even

# Exercise

The set of **rational** numbers

$\mathbf{Q} = \{ p/q \mid p, q \text{ are integers with no common factors and } q \neq 0 \}$

Proof that the square root of 2 is NOT rational