

Automata and Languages

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Mathematical Background

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Sets

Relations

Functions

Graphs

Proof techniques

Sets

Set: *collection of objects*

Finite

Countable

Ex. 1

$S_1 = \{a, 2, b\}$

Infinite

Countable

Ex. 2

$S_2 = \{0, 2, 4, \dots\}$

Uncountable

Ex. 3

Set of real numbers R

$\in$ -Notation & $\subseteq$ -Notation

The Greek letter “ $\in$ ” (epsilon) is used to denote that an object is an *element* of a set. When crossed out “ $\notin$ ” denotes that the object is *not an element*.”

Ex.: $3 \in S$ reads:

“3 is an element of the set S ”.

A set S is said to be a **subset** of the set T iff every element of S is also an element of T . This situation is denoted by

$$S \subseteq T$$

Specifying Sets

– By listing elements :

Ex.

- $S_1 = \{1, 2, 4, 5, 10, 20\}$
- $S_2 = \{0, 2, 4, \dots\}$

– By defining property :

Ex.

- $S_1 = \{n : n \in N, n \text{ divides } 20\}$
- $S_2 = \{n : n \in N, n \text{ is even number}\}$

Examples

– { 11, 12, 13 }

– {  ,  ,  }

– {  ,  ,  , 11, Leo }

– { 11, 11, 11, 12, 13 } = { 11, 12, 13 } ?

– {  ,  ,  } = {  ,  ,  } ?

The Empty Set

The **empty set** is the set containing no elements. This set is also called the **null set** and is denoted by:

- $\{\}$
- $\emptyset$

Quiz

1. $\emptyset \subseteq \emptyset$? (yes)
2. $\emptyset \subset \emptyset$? (No)

Cardinality

The ***cardinality*** of a set is the number of distinct elements in the set. $|S|$ denotes the cardinality of S .

Q: Compute each cardinality.

1. $|\{1, -13, 4, -13, 1\}|$? (3)

2. $|\{3, \{1, 2, 3, 4\}, \emptyset\}|$? (3)

3. $|\{\emptyset\}|$? (0)

4. $|\{\emptyset, \{\emptyset\}, \{\{\emptyset\}\}\}|$? (3)

Set Theoretic Operations

Set theoretic operations allow us to build new sets out of old.

Given sets A and B , the set theoretic operators are:

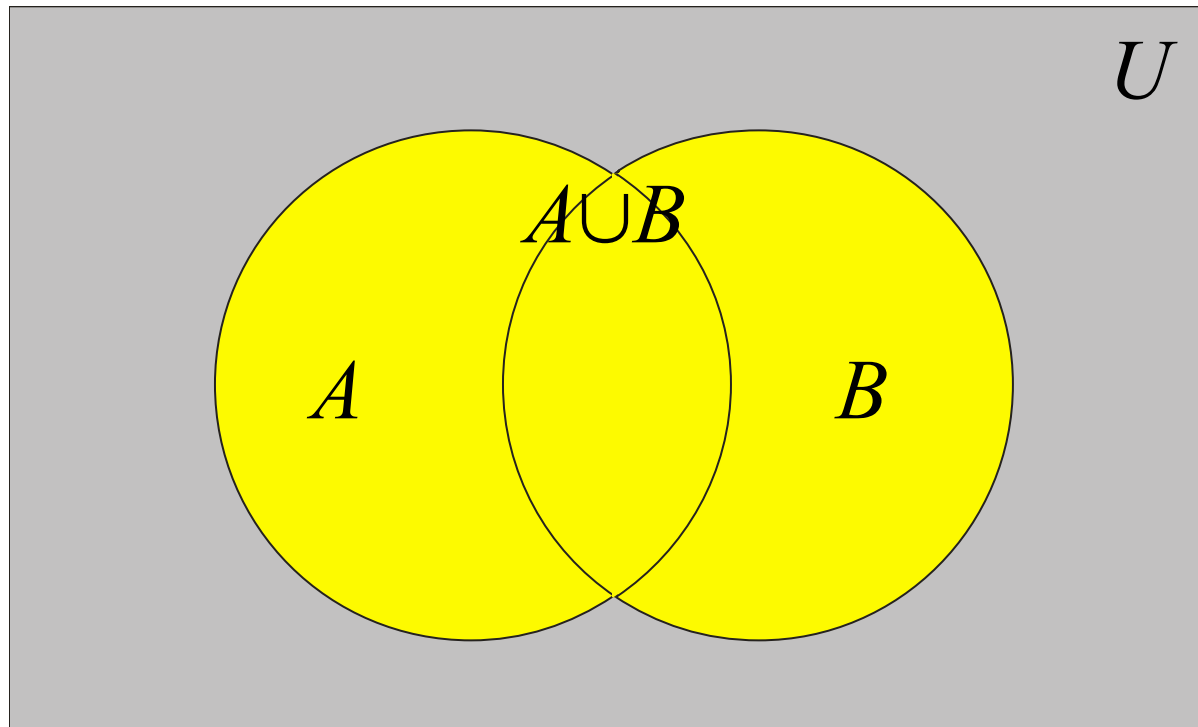
- Union ($\cup$)
- Intersection ($\cap$)
- Difference ($-$)
- Complement (“ $\overline{}$ ”)
- Cartesian Product: $A \times B$
- Power set: $P(A)$

give us new sets $A \cup B$, $A \cap B$, $A - B$, $\overline{A}$, $A \times B$, and $P(A)$.

Union

Elements in at least one of the two sets:

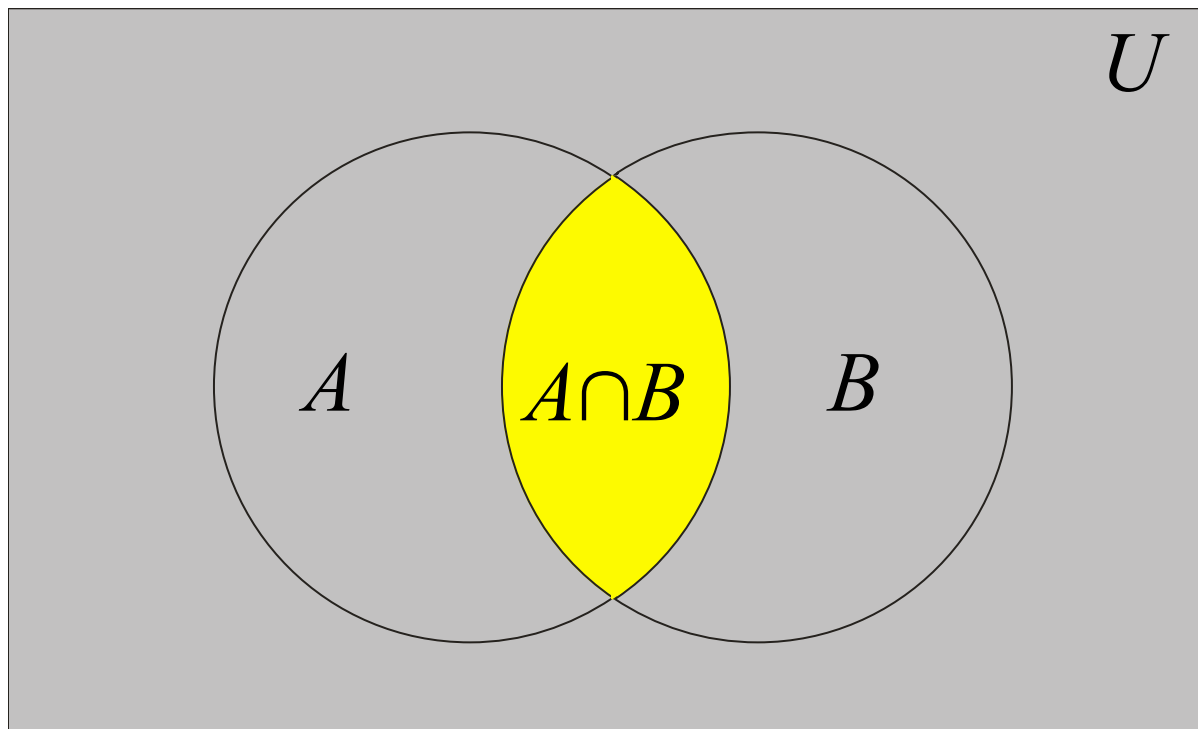
$$A \cup B = \{ x \mid x \in A \vee x \in B \}$$



Intersection

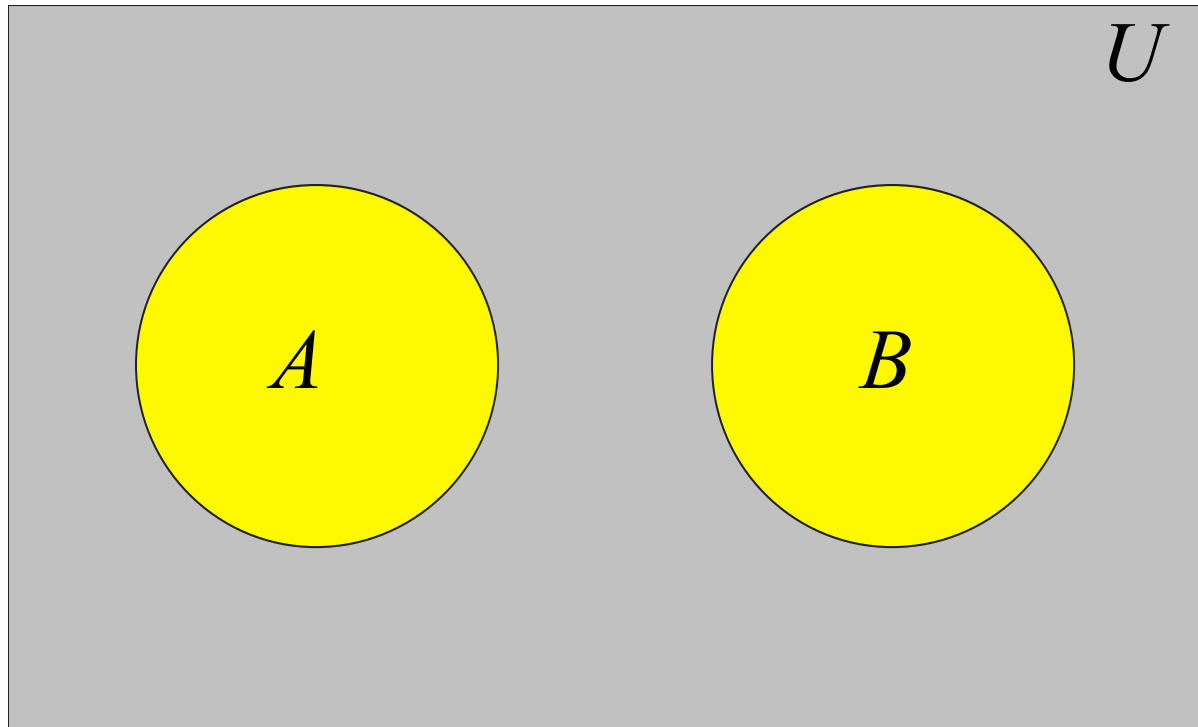
Elements in exactly one of the two sets:

$$A \cap B = \{ x \mid x \in A \wedge x \in B \}$$



Disjoint Sets

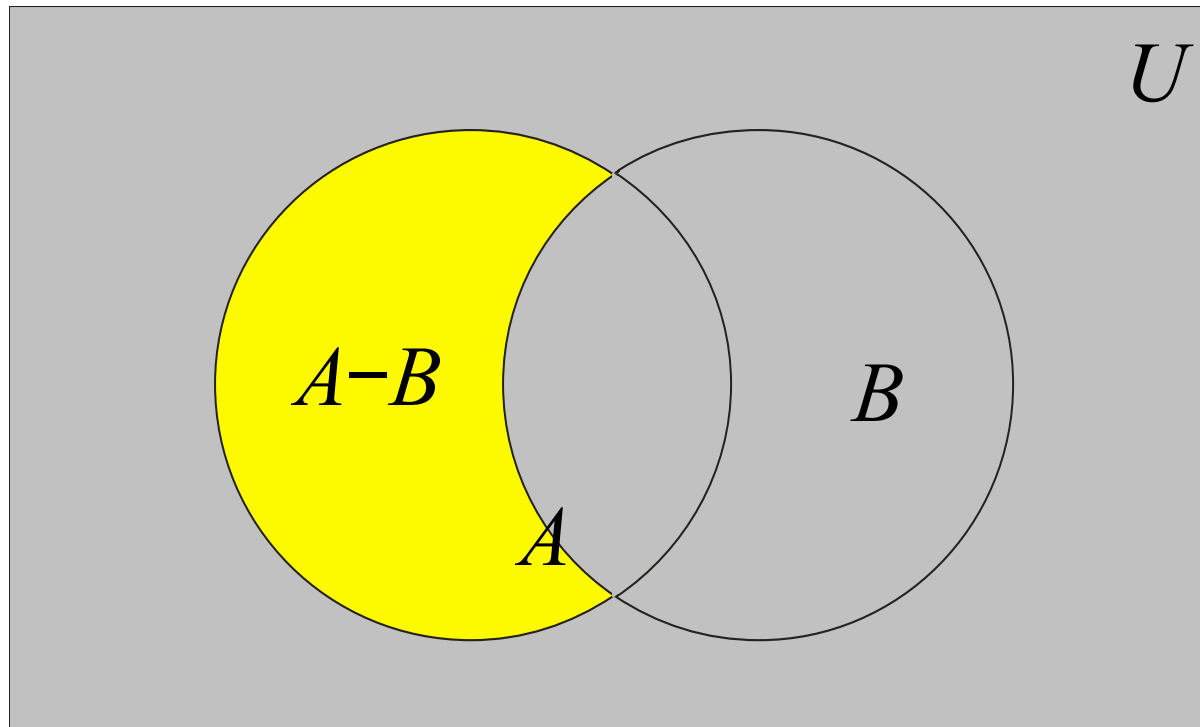
DEF: If A and B have no common elements, they are said to be ***disjoint***, i.e. $A \cap B = \emptyset$.



Set Difference

Elements in first set but not second:

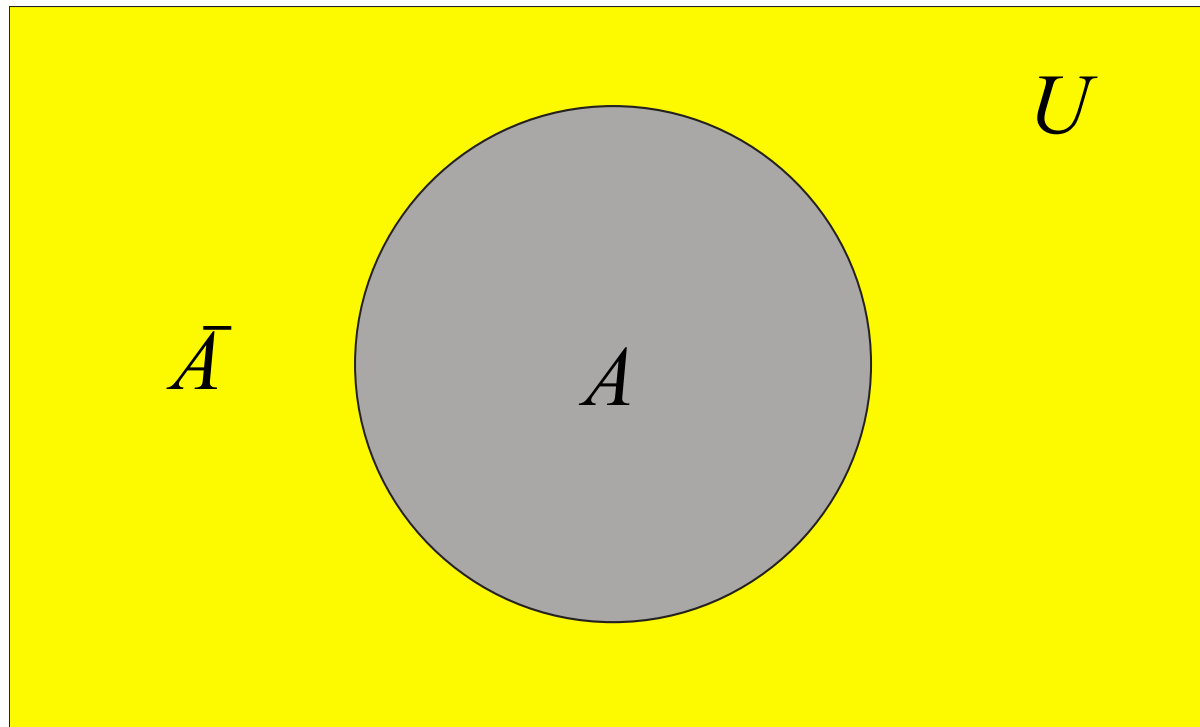
$$A-B = \{ x \mid x \in A \wedge x \notin B \}$$



Complement

Elements not in the set (unary operator):

$$\bar{A} = \{ x \mid x \notin A \}$$



Cartesian Product

The most famous example of 2-tuples are points in the Cartesian plane $\mathbf{R}^2$. Here ordered pairs (x,y) of elements of $\mathbf{R}$ describe the coordinates of each point. We can think of the first coordinate as the value on the x -axis and the second coordinate as the value on the y -axis.

The ***Cartesian product*** of two sets A and B (denoted by $A \times B$) is the set of all ordered pairs (a, b) where $a \in A$ and $b \in B$.

- $A \times B = \{ (x,y) \mid x \in A \text{ and } y \in B \}$

Q: What does $\emptyset \times S$ equal? ($= \emptyset$)

Some Examples

$$L_{<6} = \{ x \mid x \in \mathbb{N}, x < 6 \}$$

$$L_{<6} \cap L_{\text{prime}} = \{2, 3, 5\}$$

$$\Sigma = \{0, 1\}$$

$$\Sigma \times \Sigma = \{(0, 0), (0, 1), (1, 0), (1, 1)\}$$

Power Sets

Formal: $P(A) = \{ S \mid S \subseteq A \}$

Example: $A = \{x, y\}$

$P(A) = \{ \{ \} , \{x\} , \{y\} , \{x, y\} \}$

Note the different sizes:

$$|P(A)| = 2^{|A|}$$

$$|A \times A| = |A|^2$$

Power Sets

The ***power set*** of S is the set of all subsets of S .

Denote the power set by $P(S)$ or by 2^S .

The latter weird notation comes from the following lemma.

Lemma: $|2^S| = 2^{|S|}$

Power Sets: Example

To understand the previous fact consider

$$S = \{1,2,3\}$$

Enumerate all the subsets of S :

0-element sets: $\{\}$	1
1-element sets: $\{1\}, \{2\}, \{3\}$	+ 3
2-element sets: $\{1,2\}, \{1,3\}, \{2,3\}$	+ 3
3-element sets: $\{1,2,3\}$	+ 1

Therefore: $|2^S| = 8 = 2^3 = 2^{|S|}$

Binary Relations

A binary relation R is a set of pairs of elements of sets A and B ,

i.e. $R \subseteq A \times B$

- A is called the domain of R
- B is called the range (or codomain) of R
- If $A=B$ we say that R is a relation on A
- We may write aRb for $(a,b) \in R$

Properties of Binary Relations

Special properties for relation *on* a set A :

- ***reflexive*** : every element is self-related.
i.e. aRa for all $a \in A$
- ***symmetric*** : order is irrelevant. i.e. for all $a, b \in A$ aRb iff bRa
- ***transitive*** : when a is related to b and b is related to c , it follows that a is related to c .
i.e. for all $a, b, c \in A$ aRb and bRc implies aRc

Properties of Binary Relations

- ***asymmetric*** : also *not* equivalent to “not symmetric”. Meaning: it’s never the case that both aRb and bRa hold.

i.e. $aRb \rightarrow \cancel{bRa}$

- ***irreflexive*** : *not* equivalent to “not reflexive”. Meaning: it’s never the case that aRa holds.

i.e. for all a , $\cancel{aRa}$

Properties of Binary Relations

An *equivalence relation* R is a relation on a set A which is reflexive, symmetric and transitive.

- **Generalizes the notion of “equals”.**
- **R partitions A into disjoint nonempty equivalence classes, i.e., $A = A_1 \cup A_2 \cup \dots$, such that**
 - **$A_i \cap A_j = \emptyset$, for all $i \neq j$**
 - **$a, b \in A_i \rightarrow aRb$**
 - **$a \in A_i, b \in A_j, i \neq j \rightarrow a \not R b$**
 - **A_i 's are called equivalence classes and their number may be infinite**

Examples

- Set of Natural numbers is partitioned by the relation $R=\{(i, j): i = j \text{ “mod } 5”\}$ into five “equivalence classes”:
 $\{ \{0,5,10,\dots\}, \{1,6,11,\dots\}, \{2,7,12,\dots\}, \{3,8,13,\dots\}, \{4,9,14,\dots\} \}$
- “String length” can be used to partition the set of all bit strings.
 $\{ \{\}, \{0,1\}, \{00,01,10,11\}, \{000,\dots,111\}, \dots \}$

Closures of Relations

- If P is a set of properties of relations, the P -closure of a relation R is the smallest relation R' such that:
 1. $R \subseteq R'$
 2. $aR'b \rightarrow P((a, b))$ is true
 3. No more elements in R'
- Ex. The transitive-closure of a relation R , denoted by R^+ is defined by:
 1. $aRb \rightarrow (a, b) \in R^+$
 2. $(a, b) \in R^+, (b, c) \in R^+ \rightarrow (a, c) \in R^+$
 3. Only elements in (1) and (2) are in R^+
- Note that: the {reflexive, transitive}-closure of a relation R is denoted by R^*

Examples

- For the relation $R = \{(1,2), (2,2), (2,3)\}$ on the set $\{1,2,3\}$,
- R^+ and R^* are
- $R^+ = \{(1,2), (2,2), (2,3), (1, 3)\}$ $R + \text{Transitive}$
- $R^* = \{(1,1), (1,2), (2,2), (2,3), (1, 3), (3,3)\}$ $R + \text{Transitive} + \text{Reflexive}$